

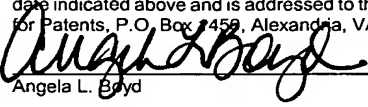
**UNITED STATES PATENT APPLICATION**

**METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR  
MONITORING USER BEHAVIOR FOR A SERVER APPLICATION**

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### Description

## METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR MONITORING USER BEHAVIOR FOR A SERVER APPLICATION

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### Related Applications

The disclosures of the following U.S. Patent Applications, commonly  
owned and simultaneously filed herewith, are all incorporated by reference  
herein: U.S. Patent Applications entitled "Methods, Systems and Computer  
10 Program Products for Monitoring a Server Application"; "Methods, Systems and  
Computer Program Products for Geography and Time Monitoring of a Server  
Application User"; "Methods, Systems and Computer Program Products for  
Monitoring User Login Activity for a Server Application"; "Methods, Systems  
and Computer Program Products for Monitoring Usage of a Server Application";  
15 "Methods, Systems and Computer Program Products for Monitoring Protocol  
Responses for a Server Application"; and "Methods, Systems and Computer  
Program Products for Monitoring User Access for a Server Application".

### Technical Field

20 The subject matter disclosed herein relates generally to computer  
network security. More particularly, the subject matter disclosed herein relates  
to methods, systems and computer program products for monitoring user  
behavior for a server application.

25

### Background Art

The ease, accessibility, and convenience of the Internet have rapidly  
changed the way people use computers and access information. The World  
Wide Web (WWW), often referred to as "the web", is one of the most popular

means for retrieving information on the Internet. The web gives users or clients access to an almost infinite number of resources such as interlinked hypertext documents or server documents retrieved via a hypertext transfer protocol (HTTP) from servers located around on the world. The web operates in a basic client-server format, wherein servers are dedicated computers or individual computer applications that execute resources in a certain matter, such as storing and transmitting web documents or binary objects, to client computers or web-enabled devices on the network. For example, a user or client can interact with a server, or web server, through a web browser on a web-enabled device in order to view retrieved information or to request an application on the web server to operate in a desired manner.

Documents on the web, referred to as web pages, are typically written in a hypertext markup language (HTML) or similar mark-up language, and identified by uniform resource locators (URLs) or uniform resource identifiers (URIs) that specify a particular computer and pathname by which a file or resource can be accessed. Codes, often referred to as tags, embedded in an HTML document associate particular words and images in the document with URLs so that a user or client can access another file or page by pressing a key or clicking a mouse button. These files generally comprise text, images, videos, and audio, as well as applets or other embedded software programs, written in for example, Java or ActiveX, that execute when the user or client activates them by clicking on a hyperlink. A user or client viewing a web page can also interact with components that, for example, forward requested information supplied by the client to a server through the use of forms, download files via file transfer protocol (FTP), facilitate user or client participation in chat rooms, conduct secure business transactions, and send messages to other users or clients via e-mail by using links on the web page.

A web server and surrounding network environment can be vulnerable to attack from malicious or irresponsible individuals via one or more web-enabled devices communicating with the web server. This is referred to as "web hacking" and generally involves taking advantage of mistakes or vulnerabilities

in web design through a web application on the web server. Web hacking is different from traditional system or application hacking because an attack generally takes place via application layer protocols. Generally, the easier it is for clients to talk or interact directly to the server applications through a web page or any other suitable type of computer-readable data, the easier it is for someone to hack into those applications. Typical attacks include defacing a page by deleting graphics and replacing them with doctored, sometimes lurid, graphics; altering or stealing password files; deleting data files; pirating copyrighted works; tampering with credit and debit card numbers, or other customer information; publicizing private business information; accessing confidential or unauthorized information; searching through internal databases; data mining; using the web application as a vehicle to attack other users or clients; and denial of service attack. Thus, web hacking causes inconvenience and perhaps irreversible damage to users, clients, customers, businesses, and operators of the web server. Generally, conventional computer security methods fail to properly address or completely ignore web hacking concerns.

The International Standards Organization (ISO) developed a set of protocol standards designed to enable computers to connect with one another and to exchange information with as little error as possible. The protocols generally accepted for standardizing overall computer communications are designated in a seven-layer set of hardware and software guidelines known as the open systems interconnection (OSI) model. This protocol model forms a valuable reference and defines much of the language used in data communications. The application layer is the highest layer of standards in the OSI model. The OSI model also includes the data link layer, the physical layer, the session layer, and the transport layer.

Conventional security methods are typically implemented between either the data link layer and physical layer by using a firewall or the session and transport layers by using a secure socket layer (SSL) or public key infrastructure (PKI). A firewall is a type of security implementation intended to protect a trusted environment, network, or web server against external threats

at the data link layer originating from another network, such as the Internet. A firewall prevents computers behind the firewall from communicating directly with computers external to the protected environment, network, or web server. Instead, all communications are routed through a proxy server outside of a trusted environment, network, or web server. The proxy server decides whether it is safe to let a particular message type or file type pass through, based on a set of filters, to the trusted environment, network, or web or application server.

SSL is an open standard developed by Netscape Communications Corporation of Mountain View, California, for establishing a secure and encrypted communications channel to prevent the interception of critical information, such as credit card information. The primary purpose of using SSL is to enable secure and encrypted electronic transactions on public networks, such as the web. A public key infrastructure or trust hierarchy is a system of digital certificates, certificate authorities, and other registration authorities that verify and authenticate each party involved in a communication session. PKIs are currently evolving and there is no single PKI nor even a single agreed-upon standard for setting up a PKI. One drawback of the above noted conventional technologies is that they do not perform an inspection of the application layer protocol, i.e., they do not scrutinize the application content of an incoming request. Therefore, these technologies cannot prevent web hacking attacks directed through the application content of an operation request.

Web hackers can easily attack computer systems by exploiting flaws and vulnerabilities in web design. For example, default scripts may allow files to be uploaded onto a web server; a web server's treatment of environmental variables may be exploited; and the existences of `backdoors` or flaws in third party products allow unauthorized access. These techniques can be potent attacks and are generally difficult to defend against through conventional means. Each month new software vulnerabilities are discovered, but many system operators typically leave these holes unpatched and their systems open to preventable attacks. Major corporations and government agencies utilizing

well configured firewalls, PKI, and SSL implementations have been infiltrated by hackers using known application layer intrusions. These intrusions typically involve illegal and harmful requests that are sent to an application forcing it to execute out of its intended or authorized scope of operation. This may exploit the application to damage itself, files, buffers, other applications, performance, or confidentiality of information.

Two conventional approaches attempt to address some of these problems. One technique involves tracking a server operating system to identify suspicious events such as deleting a file or formatting a disk. However, this type of reactionary technique typically activates only after damage has commenced or been completed. A second technique involves the installation of a network filter in front of an application and updating the filter database with known patterns that can affect the application. However, this technique is limited in that it is unable to identify patterns, which are not yet "known" by the filter database. In other words, the capability of this technique is directly related to the comprehensiveness of the filter database that it draws the patterns from. To increase capability, the filter database requires continual updating. Further, these techniques will not protect against manipulations of environmental variables or the application's implemented business process. These techniques also fail to account for and protect against vulnerabilities in the application itself such as input validation errors, authentication errors, authorization errors, and lack of usage policy enforcement.

In addition, conventional security solutions typically fail to address the increased hacking opportunities caused by the proliferation of electronic commerce (e-commerce), mobile, interactive television (iTV) applications, and web services applications. These applications generally require the combination of numerous components operating on different platforms all working together using different technologies. For example, a single application can comprise a plurality of components, such as, a web server application; transaction server application; database; and Java, ActiveX, and Flash applets all working together. Generally, conventional security solutions

are unable to meet the unique security needs of each component in a multiple component system.

Based on the foregoing, it is apparent that it can be difficult to anticipate, recognize, or prevent all types of web or server hacking. Therefore, it is desirable to provide a system for monitoring communication between an application server and client application to alert operators to suspect activity. It is also desirable to provide a system for associating suspect activity with a particular web-enabled device, client, user name, or user session.

### Summary

Embodiments of methods, systems, and computer program products are disclosed for monitoring a server application in a computer network. The methods, systems, and computer program products can monitor communication data between a server application and a client. The methods, systems, and computer program products can also include applying one or more detectors to the communication data to identify a variety of predetermined activity. Further, the methods, systems, and computer program products can include generating a threat score associated with the predetermined activity by comparing the identified predetermined activity with a security threshold criteria.

Some embodiments of methods, systems, and computer program products disclosed herein can monitor login session activity for a client of a server application. The methods, systems, and computer program products can identify one geographic location of a client initiating a login session. The methods, systems, and computer program products can also identify another geographic location of the same client or a different client initiating another login session. The identified geographic locations can be analyzed to identify any geographic difference. A time interval between the initiation of the first login session and the second login session can be identified. The geographical difference and the time interval can be analyzed for determining whether to generate a threat score.

Some other embodiments of methods, systems, and computer program products disclosed herein can detect abnormal activity of a server application. The methods, systems, and computer program products can measure an activity of a server application user over a period of time to generate a measurement of the activity. Next, the methods, systems, and computer program products can measure the same activity of the server application user over another period of time to generate another measurement of the activity. Next, whether the first and second measurements deviate a predetermined amount in order to detect abnormal activity for the server application user can be determined. If abnormal activity is detected, a threat score can be generated.

Other embodiments of methods, systems, and computer program products disclosed herein can monitor user login activity for a server application. Communication data between a server application and a client can be received. User login failures between the server application and the client can be monitored during an established session. Further, when the number of user login failures exceeds a predetermined number can be detected. If the number of user login failures exceeds the predetermined number, a threat score can be generated.

Other embodiments of methods, systems, and computer program products disclosed herein can monitor server application. A predetermined activity can be identified from communication data between a server application and a client over a predetermined time. An activity measurement can be generated. The identified activity can be compared to a predetermined activity. Based on the comparison, a threat score can be generated.

Some other embodiments of methods, systems, and computer program products disclosed herein can monitor protocol response codes for a server application. Protocol response codes in communication data between a server application and a client during a session can be monitored. The number of protocol response codes during the session can be determined. Next, the



number of protocol response codes can be compared to a set number. Based on the comparison, a threat score can be generated.

5 Other embodiments of methods, systems, and computer program products disclosed herein can flag a client of a server application. Whether an identified client is in data communication with a server application over a computer network can be determined. If the identified client is in data communication, the client can be flagged.

10 It is therefore an object to provide novel methods, systems, and computer program products for monitoring a server application. This and other objects are achieved, in whole or in part, by the subject matter disclosed herein.

#### Brief Description of the Drawings

15 Exemplary embodiments of the subject matter will now be explained with reference to the accompanying drawings, of which:

Figure 1A is a schematic diagram of a communications network including a security system for monitoring a server application;

Figure 1B is a schematic diagram of a network including a security system for monitoring a web application;

20 Figure 2 is a schematic diagram of a security system according to one embodiment;

Figure 3 is a flow chart which illustrates an exemplary process for executing the blades of a security system;

25 Figure 4 is a flow chart which illustrates an exemplary process for parsing packets into HTTP requests and responses;

Figure 5 is a flow chart which illustrates an exemplary process for filtering incoming packets;

30 Figure 6 is a flow chart which illustrates an exemplary process for associating received network traffic with user sessions established between a web server and one or more web-enabled devices;

Figure 7 is a flow chart which illustrates an exemplary process for associating network traffic with particular user login sessions;

Figure 8 is a flow chart which illustrates an exemplary process for detecting and triggering when a user has properly logged into a monitored application;

Figure 9 is a flow chart which illustrates an exemplary process for detecting and triggering when a login attempt fails;

Figure 10 is a flow chart which illustrates an exemplary process for detecting and triggering when a user has properly logged off a monitored application;

Figure 11 is a flow chart which illustrates an exemplary process for detecting when the number of login failures during a single session exceeds a predetermined number;

Figure 12A is a flow chart which illustrates an exemplary process for determining a normal login failure rate for all sessions;

Figure 12B is a flow chart which illustrates an exemplary process for triggering when the login failure rate for a user is not in accordance with an observed login failure rate for the session observed by the process of Figure 12A;

Figure 13 is a flow chart which illustrates an exemplary process for detecting when the number of login failures using the same user identification exceeds a predetermined number over a period of time;

Figure 14 is a flow chart which illustrates an exemplary process for detecting when the number of login failures for the web application for all users exceeds a predetermined number over a period of time;

Figure 15A is a flow chart illustrating a process for triggering based on failed logins from any single IP address;

Figure 15B is a flow chart illustrating a process for triggering when a user has logged in more than once at the same time;

Figure 15C is a flow chart illustrating a process for triggering when subsequent logins occur by the same user from different IP addresses;

Figures 16A and 16B are a flow charts which illustrate exemplary processes operating in combination for detecting and triggering when a user's login time is not in accordance with observed login time behavior for the user;

5        Figures 17A and 17B illustrate flow charts of exemplary processes operating in combination for detecting and triggering the number of requests for a web page is abnormal;

Figure 18 is a flow chart which illustrates an exemplary process for determining and triggering when the number of requests within a single session exceeds a predetermined number during a period of time;

10        Figures 19A and 19B are flow charts of exemplary processes operating in combination for triggering and detecting when a user session duration deviates from expected user behavior;

15        Figures 20A and 20B illustrate flow charts of exemplary processes for detecting and triggering when a user session duration deviates from an expected user session duration based on all users;

Figure 21 is a flow chart which illustrates an exemplary process for triggering when a user's session duration exceeds a predetermined period of time;

20        Figures 22A and 22B are flow charts of exemplary processes operating in combination for detecting and triggering when user activity in a session deviates from expected or normal user activity for all user sessions;

Figures 23A and 23B illustrate flow charts of exemplary processes operating in combination for detecting and triggering when user activity deviates from expected user activity for a user;

25        Figure 24 is a flow chart of an exemplary process for determining when the rate of web page errors is higher than normal;

Figure 25 is a flow chart of an exemplary process for detecting and triggering when a user session changes to a different IP address;

30        Figure 26 is a flow chart of an exemplary process for detecting and triggering when a session ID is requested that has not been issued by a web server;

Figure 27 is a flow chart of an exemplary process for detecting and triggering when the total number of HTTP response codes or errors within a user session exceeds a predetermined number or specified limit;

5        Figure 28 is a flow chart of an exemplary process for detecting and triggering when the number of individual response codes within one user session exceeds a predetermined number;

10       Figure 29 is a flow chart of an exemplary process for detecting and triggering when selected HTTP response codes for each web page in the monitored web application exceeds a predetermined number during a predetermined time period;

Figure 30 is a flow chart which illustrates an exemplary process for detecting and triggering when the total number of selected HTTP response codes against each web page exceeds a predetermined number;

15       Figure 31 is a flow chart which illustrates an exemplary process for detecting and triggering when suspect HTTP methods are used in requests;

Figure 32 is a flow chart which illustrates an exemplary process for flagging users of the monitored web application;

20       Figure 33A and 33B are flow charts of exemplary processes operating in combination for detecting and triggering when a session cookie returned from the web application has been modified;

Figures 34A and 34B are flow charts of exemplary processes operating in combination for detecting and triggering application forms issued during a session that have been manipulated;

25       Figure 35 is a flow chart which illustrates an exemplary process for detecting and triggering when a web crawler begins scanning a web application;

Figure 36 is a flow chart which illustrates an exemplary process for detecting and triggering when users are accessing a web application from an IP address that has been disallowed;

30       Figure 37 is a flow chart which illustrates an exemplary process for detecting and triggering when a flagged user logs in to a web application;

Figure 38 is a flow chart which illustrates an exemplary process for flagging users of the monitored web application;

Figure 39 is a screen display of summary tables of a monitored web application;

5           Figure 40A is a screen display of an active sessions page;

Figure 40B is a screen display for showing active sessions and completed sessions;

Figure 40C is a screen display for showing sessions grouped according to client IP address;

10           Figure 40D is a screen display for showing sessions grouped according to time of occurrence;

Figure 41 is a screen display of an entry in user sessions table;

Figure 42 is a screen display of an active users page;

Figure 43 is a screen display of an entry for a user login name;

15           Figure 44 is a screen display of recent potential threats to the web pages of the monitored web application;

Figure 45 is a screen display of an entry in a table shown in Figure 44;

Figure 46A is a screen display of recent potential threats to a monitored web application;

20           Figure 46B is a screen display for showing threats grouped according to a triggering detector;

Figure 46C is a screen display for showing threats grouped according to the page that the threats were targeted against;

25           Figure 46D is a screen display for showing threats grouped according to a triggering client;

Figure 46E is a screen display for showing threats grouped according to the server;

Figure 46F is a screen display for showing threats grouped according to date;

30           Figure 47 is a screen display of an entry shown in Figure 46A;

Figure 48 is a screen display of a transaction summary table of a monitored web application;

Figure 49 is a screen display of transaction details;

5       Figure 50 is a screen display of network information for a monitored web application;

Figure 51 is a screen display for configuring password and interface settings;

Figure 52 is a screen display for configuring one or more web servers for monitoring;

10       Figure 53 is a screen display showing configuration for a mail server to allow the sensor to send e-mail alerts and daily reports of activity;

Figure 54 is a screen display for setting time for a security system;

15       Figure 55 is a screen display showing a software upgrade feature by which an operator can patch or upgrade a security system by browsing to a patch file and uploading it to the security system;

Figure 56 is a screen display for controlling a security system;

Figure 57 is a screen display for configuring a security system to monitor one or more web pages;

Figure 58 is a screen display for configuring page recognition;

20       Figure 59 is a screen display for configuring a security system to monitor different types of sessions;

Figure 60 is a screen display for user authentication configuration;

Figure 61 is a screen display for configuring a security system with an IP address;

25       Figure 62 is a screen display for configuring operators for a security system;

Figure 63 is a screen display for configuring details of an operator account;

30       Figure 64 is a screen display for configuring lists for e-mailing alerts and daily reports;

Figure 65 is a screen display for listing an audit history of actions taken by operators;

Figure 66 is a screen display for generating two daily reports to e-mail to selected operators;

5           Figure 67 is a screen display for listing installed detectors;

Figure 68 is a screen display for displaying and allowing operators to change a detector configuration;

Figure 69 is a screen display for adjusting threat scores;

Figure 70 is a screen display for displaying a user access list; and

10           Figure 71 is a screen display for showing user access list details.

#### Detailed Description

15           In accordance with the subject matter disclosed herein, systems and methods for monitoring web applications are provided. The systems and methods according to the subject matter disclosed herein will be explained in the context of flow charts, diagrams, and screen displays. It is understood that the flow charts, diagrams, and screen displays can be implemented in hardware, software, or a combination of hardware and software. Thus, the present subject matter can include computer program products comprising

20           computer-executable instructions embodied in computer-readable media for performing the steps illustrated in each of the flow charts, implementing the machines illustrated in each of the diagrams, or generating screen displays. In one embodiment, the hardware and software for monitoring web applications is located in a computer operable to retrieve traffic from a network such as the

25           Internet.

            According to one embodiment, the subject matter disclosed herein can be employed in Internet, an intranet, a mobile communication network, an iTV network, a hybrid network, or any other application environment that uses application protocols, such as HTTP, HTTPS, file transfer protocol (FTP),

30           IMAP, POP, simple object access protocol (SOAP), web distributed authoring and versioning (WebDAV), web services, simple mail transfer protocol (SMTP),

structured hypertext transfer protocol (STTP), wireless application protocol (WAP), web-mail protocols, and any other suitable protocol known to those of skill in the art. Further, the subject matter disclosed herein can be practiced in any type of communication system where information is exchanged between a web application and a web-enabled device.

The subject matter disclosed herein can monitor web activity and detect and alert an operator to suspicious activity of one or more application clients or users or a web-enabled device. The activity can be potentially harmful or unauthorized use of one or more web applications, a shared web application, or a distributed application or a request for the application to execute out of the intended scope of operation. A security method and system according to an embodiment of the subject matter disclosed herein can implement one or more security detection techniques for alerting an operator to potentially illegal or harmful communication or activity from a web application user or client. The security detection techniques can be implemented by detectors, either operating alone or in combination, comprising one or more security processes. On being alerted to the potentially harmful or unauthorized use, the operator can take measures to protect the web application. Thereby, preventing such applications from harming themselves, data files, buffers, other applications, server performance, or confidentiality of information. The detectors can also associate the suspicious activity with a particular object, such as a computer, IP address, web user and/or session, application, or server.

According to one embodiment, the security method and system can associate the potentially illegal or harmful communication or activity with a particular user session established between a server application and a client. A user session can refer to the session of activity that a client spends accessing a server application during a specified period of time. According to one embodiment, a user session can refer to a delimited set of user clicks across one or more web servers.

According to another embodiment, user sessions can be created with the exchange of HTTP requests and responses between a client and server



application. An HTTP server can respond to each client request without relating that request to previous or subsequent requests. This technique allows clients and servers that are operable for exchanging state information to place HTTP requests and responses within a larger context, which we term a "session".

5 This context might be used to create, for example, a "shopping cart", in which client selections can be aggregated before purchase, or a magazine browsing system, in which a client's previous reading affects which offerings are presented. Sessions can have a beginning and an end. Sessions can be relatively short-lived. A client and/or server application can terminate a  
10 session. Data identifying the session can be included in the exchange of communication data.

According to one embodiment, the security method and system can associate the potentially illegal or harmful communication or activity with a particular login session established between a server application and a client.  
15 A login session can refer to a user session where the server application has been provided at least a user name and password. The user name can refer to the label identifying client or its operator. The password can refer to the secret series of characters that authenticate the operator or application of the client as the client or operator specified by the user name. The password can enable  
20 the client or operator to access a file, computer, or program associated with the server application. Typically, the password can be up to 127 characters in length and is case-sensitive.

#### I. Security System

25 According to one embodiment, a security system for monitoring a web application and detecting and alerting an application operator to suspicious activity of a web application user is positioned between a web user (or web-enabled device) and a web server comprising one or more web applications. The security system can receive network traffic transmitted between the web  
30 user and the web server for security analysis. The security system can associate active user sessions and login sessions with the network traffic. The

security system can also apply one or more detectors to the received network traffic to analyze predetermined activity and generate a security threat score for the predetermined activity by comparing the analyzed predetermined activity with a security threshold criteria. Further, the security system can determine whether or not to generate an alert based upon the threat score associated with the detector. According to one embodiment, the security system can monitor network traffic and generate alerts in real-time.

Figures 1A and 1B illustrate schematic diagrams of exemplary networks including a security system for monitoring a server application. Referring specifically to Figure 1A, a schematic diagram of a communications network, generally designated **100**, including a security system **102** for monitoring a server application **SA**. Security system **102** can monitor network traffic transmitted between server application **SA** and clients **C1**, **C2**, and **C3**. Server application **SA** and client **C1**, **C2**, and **C3** can communicate via a communication network **CN**.

Server **S** can comprise any suitable dedicated or shared server operable to implement server application **SA** for access by clients **C1**, **C2**, and **C3**. Clients **C1**, **C2** and **C3** can include network-enabled devices, such as a computer including a web browser, a mobile telephone, or a computer including programmed code for communicating with server application **SA**. Clients **C1**, **C2**, and **C3** can include a client application **CA** operable to request and receive files or documents made available by server **S**. Client application **CA** can also cause server **S** to perform tasks that are undesired by the server operator.

Figure 1B illustrates a schematic diagram of a network, generally designated **100**, including a security system **102** for monitoring a web application **WA**. Network **100** can include a network connection **NC** and the Internet **104** for transmitting network traffic between a web server **WS** and one or more clients or web-enabled devices, such as web-enabled devices **WED1**, **WED2**, and **WED3**. A web-enabled device is also known as a client. According to one embodiment, security system **102** can transparently monitor network traffic transmitted between web application **WA** and web-enabled

5 devices **WED1**, **WED2**, and **WED3**, i.e., without affecting the normal flow of network traffic. Web server **WS** can comprise any suitable dedicated or shared server operable to implement web application **WA** for access by web-enabled devices **WED1**, **WED2**, and **WED3**. Security system **102** can collect network traffic and reassemble the network traffic into package content provider (PCP) streams. Security system **102** can also decrypt SSL traffic; understand HTTP connections; and parse a computer language or a mark-up language such as HTML, WML, or XML. Hyper Text Transfer Protocol (HTTP) is an example of an application-layer communications protocol for operating on top of TCP and underlies the World-Wide-Web. HTTP can provide a mechanism for clients to make requests of servers for named content, and for servers to deliver the requested content to clients. HTTP protocol is a stateless, request-response protocol that is well suited for delivering files and other content across the Internet. Hyper Text Markup Language (HTML) is an example document formatting language that allows documents comprised of text, images, tables, forms, other graphical objects, and interactive scripts to be described and displayed in a consistent manner on a wide variety of devices and computer systems. One principal use for HTTP in the world-wide-web is to deliver a mark-up language, such as HTML, based content from application servers to clients.

20 Web application **WA** can comprise resources, such as a mark-up language, graphics files, audio files, video files, web server software, common gateway interface ("CGI") scripts, Perl scripts, database information, or any other suitable type of information resource or executable program. Web application **WA** can be identified by URLs that identify web server **WS** and the pathname by which a file or resource can be accessed. An application path represents an application's resource location to execute a specific application task or command. According to one embodiment, web application **WA** can be implemented on a single server, or distributed throughout multiple servers.

30 Web server **WS** can communicate various web application resources and

administrative data, referred to herein as HTTP server responses, to web-enabled devices **WED1**, **WED2**, and **WED3**.

Web server **WS** and web-enabled devices **WED1**, **WED2**, and **WED3** can be connected together in any suitable network. In this embodiment, web server **WS** and web-enabled devices **WED1**, **WED2**, and **WED3** are connected together via the Internet **104** and network connection **NC**. Security system **102** can tap into network connection **NC** for receiving network traffic communicated between web server **WS** and web-enabled devices **WED1**, **WED2**, and **WED3**.

Network traffic received by security system **102** can consist of IP packets for various protocols and services. Security system **102** must filter these packets and understand them in order to recover the desired HTTP conversations for monitoring.

Each web-enabled device **WED1**, **WED2**, and **WED3** can include a unique Internet protocol (IP) address and web browsers **WB1**, **WB2**, and **WB3**, respectively, for communicating with web application **WA**. Web browsers **WB1**, **WB2**, and **WB3** can comprise a software application operable to locate and display web pages made available by web application **WA**. Additionally, one or more clients can be configured to implement an attack on web server **WS**. Web-enabled devices **WED1**, **WED2**, and **WED3** can transmit data packets over the Internet **104** and network connection **NC** to web server **WS**. The data packets can be in HTTP, WAP, or SMTP format and include a command and request to be performed by web server **WS**. For example, the commands and requests can direct web server **WS** to retrieve and transmit a particular web page or file.

Network connection **NC** can be a transmission path in one or more networks, such as a global area network (e.g., the Internet), wide area networks, local area networks, or wireless networks, through which data can be communicated between web server **WS** and one of web-enabled devices **WED1**, **WED2**, and **WED3**. Data can be communicated between web server **WS** and one of web-enabled devices **WED1**, **WED2**, and **WED3** via HTTP over Transmission Control Protocol/Internet Protocol (TCP/IP), suite of

communications protocols used to connect computers and servers on the Internet. According to one embodiment, all of the received packets are IP packets. Some of those IP packets represent traffic for TCP connections. Some of the TCP connections represent HTTP protocols monitored by security system **102**.

Referring to Figure 2, a schematic diagram of security system **102** according to one embodiment is illustrated. Security system **102** can comprise a server computer connected to network connection **NC** and operable to receive network traffic communicated between web server **WS** and web-enabled devices **WED1**, **WED2**, and **WED3**. According to one embodiment, security system **102** is a computer server based on PC architecture and includes a central processing unit (CPU), such as the INTEL® XEON™ processor available from Intel Corporation of Santa Clara, California. Security system **102** can also include one or more memory components, such as random access memory (RAM) and a hard disk.

Security system **102** can include a network packet monitoring network interface **NI** operable to seamlessly and transparently receive and store network traffic communicated between web server **WS** and web-enabled devices **WED1**, **WED2**, and **WED3**. Network interface **NI** can include two 10/100/1000 Base-TX Ethernet network ports. According to one embodiment, security system **102** can also include management interfaces comprising a 10/100 Base-TX Ethernet port and a RS-232C serial interface port.

According to one embodiment, the components of security system **102** can be implemented in hardware, software, or a combination of hardware and software. For example, the components of security system **102** described herein can comprise computer program products comprising computer-executable instructions embodied in computer-readable media having instructions for performing the steps illustrated in each of the flow charts described herein, implementing the components of security system **102**, or generating the screen displays described herein.

According to one embodiment, security system **102** can reassemble the network traffic received from network interface **NI** into one or more TCP data streams, including accounting for fragmented packets, out-of-order packets, retransmitted packets, and VLAN tagged packets. Security system **102** can include a detector framework layer **DFL** for parsing the data streams for information needed by one or more detectors **D1-D53**. Detectors **D1-D53** can detect and alert an operator of web server **WS** or server **S** (Figures 1A and 1B, respectively) to suspicious activity of one or more web application users or clients of devices **WED1**, **WED2**, and **WED3** that can indicate potentially harmful or unauthorized use of one or more web applications, a shared web application, or a distributed application from being requested to execute out of the intended scope of operation web application. Based on the parsed information, detectors **D1-D53** can recognize and analyze a predetermined activity associated with web server **WS** and web-enabled devices **WED1**, **WED2**, and **WED3**. Detectors **D1-D53** can each detect different types of network activity, application activity, or threats of potential interest to the operator. Detectors **D1-D53** can also associate a detected activity or threat with particular user sessions and/or login sessions. Additionally, detectors **D1-D53** can associate a detected activity or threat with an object such as an IP address, a client application, or URL.

Some of detectors **D1-D53** can compare current activity for a web user or session to an observed behavior to determine whether the current activity deviates a predetermined amount from the observed behavior. Further, some of detectors **D1-D53** can compare activity associated with an object, such as an IP address or a client application, to observed behavior to determine whether the current activity deviates from a predetermined amount from the observed behavior. Other detectors **D1-D53** can compare current activity for a web user (or client) or session to a predetermined value to determine whether the current activity deviates a predetermined amount from the predetermined value. If the current activity deviates the predetermined amount, the associated detectors **D1-D53** can trigger for generating a threat score. Threat scores can be

associated with a page, an IP address, a user, user session and/or login session for alerting the operator to potential threats to web application **WA** or server application **SA** (Figures 1A and 1B, respectively). Exemplary embodiments and implementations of detectors **D1-D53** are described in further detail herein.

Security system **102** can also be connected to a workstation **W** or computer comprising user interfaces, such as a display **DISP** and a keyboard **K**, for interfacing with an operator. Display **DISP** can provide various screen displays for indicating potential security threats, configuring system **102**, and analyzing received network traffic. Keyboard **K** can receive operator input. Security system **102** can also include other suitable interface devices such as a printer or mouse.

Security system **102** can include one or more internal components, or system blades, arranged in a stack based on the order that they are executed. The order of execution can be determined dynamically by the relative priority assigned to each blade definition. The blade definition is an extensible mark-up language (XML) deployment descriptor that allows blades to be dynamically deployed into system **102**. These priorities can account for dependencies among the blades. Blades can implement several APIs that are executed at certain times as security system **102** monitors network traffic. The callbacks or API functions can include a RequestListener, a ResponseListener, a TxnListener, a LoginListener, a SessionListener, a ThreatListener, and a TimerListener. The RequestListener can be called when security system **102** fully reads each incoming HTTP client request, but before the associated response from web server **WS** has been seen. The blade is provided all of the information from the HTTP client request. The ResponseListener can be called before the entire HTTP response has been received. The blade is provided all of the information from the client request and server response. The TxnListener can be called when security system **102** fully reads each outgoing HTTP server response, including the full content of the response. The blade is provided all of the information from the client request and the server response

and can examine the content of the response. The LoginListener can be called whenever a user logs into the application or logs off. The SessionListener can be called when a session is created or destroyed. The ThreatListener can be called when any blade generates a security event. The TimerListener can be called periodically at intervals specified by the blade.

Referring to Figure 3, a flow chart, generally designated **300**, is provided which illustrates an exemplary process for executing the blades of security system **102** (Figures 1A, 1B, and 2). The process can begin at step **ST1**. Next, security system **102** can capture packets from network connection **NC** or communication network **CN** (Figures 1A and 1B, respectively) (step **ST2**). Security system **102** can assemble packets into an HTTP message and then further parse the message (step **ST3**). The process proceeds to step **ST4** for handling HTTP responses and step **ST5** for handling HTTP requests.

Referring to step **ST4**, the HTTP message can be parsed for HTML. Next, the process can proceed to step **ST6**. Referring to step **ST5**, the HTTP request can be parsed for form data and generate a transaction globally-unique-identifier (Txn GUID). A Txn GUID is generated for each transaction observed. This can allow any security event that is generated to refer specifically and un-ambiguously to the transaction that caused the alert (if applicable for that detector). During operation, this can allow the operator to view the specific transaction(s) associated with the threat.

Next, the process can proceed to step **ST6**. Referring to step **ST6** of Figure 3, sessions can be delineated with user session detector **USD** (Figure 2). Logins can also be delineated with login detector **LD** (Figure 2) (step **ST7**).

Subsequently, examineRequest() and examineTxn() can be executed (steps **ST8** and **ST9**, respectively). The detectors provide an implementation of the functions examineRequest() and examineTxn(). At the appropriate time, the framework can execute these functions, passing in all of the relevant parameters about the HTTP request or transaction. Thus, this step can be described as the "point of contact" between the framework and the detectors, or in other words it is the mechanism by which the framework communicates



these high-level events (i.e. "a request has been made," or "a transaction has been completed") to the detectors which are authored and developed outside the framework itself. Next, the process can proceed to step **ST10**. Referring to step **ST10** of Figure 3, the blades can be executed in sequence. Additionally, raiseEvent() can be executed. The raiseEvent() API is provided by the framework for use by detectors to actually generate security events. Thus, the detectors may operate in various and unique ways to determine that a threat exists, but they use a common method to convey this determination to the framework, which then can take responsibility for storing, organizing, and displaying the security events to an operator in a consistent manner.

Next, security system **102** (Figures 1 and 2) can execute post processing procedures (step **ST11**). Step **ST11** can refer to 1) storing a record of the transaction in a database and 2) taking any threats generated by the transaction and assigning the resulting threat score to the appropriate objects in the system. For example, if detector "X" determines that the current transaction represents an SQL-injection attempt and assigns a threat score of 100 to that threat, this post-processing step can (1) add 100 to the threat score of the user associated with the transaction, and (2) add 100 to the vulnerability score of the web page of the targeted transaction. Next, the ISM connector can provide a bridge between security system **102** and the ISM. This bridge can be a network connection between the products by which security system **102** communicates all activity to the ISM for additional analysis. Activity can refer to everything security system **102** is seeing or calculating: transactions, threats, users, pages, statistics, and scores. The process can then return to step **ST2**.

Referring to Figure 4, a flow chart, generally designated **400**, is provided which illustrates an exemplary process for parsing packets into HTTP requests and responses. The process can start at step **ST1**. At step **ST1**, received packets can be suitably reassembled and decrypted by the network layer. A separate parser for each active TCP connection can be maintained.

Referring to step **ST2** of Figure 4, security system **102** (Figures 1 and 2) can "listen" for packets in either direction (i.e., client-to-server and server-to-

client) on an established TCP connection. Next, at step **ST3**, as each packet is received, a current state of the parser can be determined. The states can include reading an HTTP message ("Reading Message") or reading an HTTP entity ("Reading Entity"). If the state is "Reading Message", the process can proceed to step **ST4**. Otherwise, if the state is "Reading Entity", the process can proceed to step **ST5**.

Referring to step **ST4**, bounds checks can be performed. Bounds checks can include buffer overflow checks on the request-URI, message headers, and total message of the HTTP message. Next, the process can proceed to step **ST6**.

Referring to step **ST6** of Figure 4, a parser can determine whether a complete HTTP message has been received. A complete message can be all data, including HTTP headers. If a complete HTTP message has not been received, the process can return to step **ST2** to wait for the next packet on the connection. Otherwise, if a complete HTTP message has been received, the HTTP request line or HTTP status line of the HTTP message can be parsed depending on whether the message is a client response or server response (step **ST7**). Additionally, all HTTP headers included in the message can be parsed. Next, the process can proceed to step **ST8**.

Referring to step **ST8** of Figure 4, it can be determined whether an entity body is present in the HTTP message. If the entity body is not present, the message can be processed (step **ST9**). Processing can include executing the system blades (described herein) and parsing the content of the HTTP message. The state of the parser can be set to "Reading Message" (step **ST10**). Next, at step **ST11**, it can be determined whether the next HTTP request message is available. If the next HTTP request message is available, the process can proceed to step **ST3**. Otherwise, if the next HTTP request message is not available, the process can wait at step **ST2**.

Referring again to step **ST8**, if the entity body is present, the process can proceed to step **ST12**. The state of the parser can be set to "Reading Entity" (step **ST12**). Next, the length of the included content can be calculated

(step **ST13**). Alternatively, at step **ST13**, it can be determined that the HTTP message is HTTP/1.1 chunked-encoded. Next, the process can proceed to step **ST3**.

5 Referring again to step **ST3** of Figure 4, if the state of the parser is "Reading Entity", the process can proceed to step **ST5**. At step **ST5**, it can be determined whether the entity is HTTP/1.1 chunked-encoded. If the entity is HTTP/1.1 chunked-encoded, it can be determined whether a complete chunk is available (step **ST14**). If a complete chunk is not available, the process can loop back to step **ST2** until a complete chunk is available. If it is determined  
10 that a complete chunk is available (step **ST14**) or the entity is not HTTP/1.1 chunked-encoded, the process can proceed to step **ST15**.

Referring to step **ST15** of Figure 4, it can be determined whether the entity is held in a memory based on the HTTP content-type of the entity. Entities subject to further analysis can be held in the memory. The entities  
15 subject to further analysis and held in the memory can include HTML text and XML text (step **ST16**). Next, the process proceeds to step **ST17**.

Referring to step **ST17** of Figure 4, it can be determined whether all of the entity has been received based on the content length of the entity and other suitable HTTP message parameters. If all of the entity has not been received,  
20 the process can proceed to step **ST2** to wait for more of the message. Otherwise, if all of the entity has been received, the process can proceed to step **ST18**.

Referring to step **ST18** of Figure 4, it can be determined whether the entity is being buffered in memory. If the entity is being buffered in the  
25 memory, the process can perform a check to determine whether the buffered entity has been encoded (step **ST19**). If the buffered entity has been encoded, the entity can be decoded (step **ST20**) so it can be processed. The function of this step can support HTTP messages in which the entity has been compressed or otherwise encoded for transfer. Next, the process can proceed  
30 to step **ST9**. If the buffered entity has not been encoded, the process can proceed to step **ST9**.

According to one embodiment, the blades of security system **102** can include an application filter **AF**, system blade saver **SAV**, a node or web page mapper detector **MD**, and a recorder **REC**. Application filter **AF** can filter out HTTP requests that are not part of the monitored web application. Thus, application filter **AF** can receive data on network connection **NC** and discard any received data packets that are not of interest.

Referring to Figure 5, a flow chart, generally designated **500**, is provided which illustrates an exemplary process for filtering incoming packets. The exemplary process of flow chart **500** can be implemented by application filter **AF**. The process can begin at step **ST1**. At step **ST2**, application filter **AF** (Figure 2) can determine whether the packet is a TCP packet. If the packet is not a TCP packet, the packet can be ignored (step **ST3**). Otherwise, the process proceeds to step **ST4**.

At step **ST4**, application filter **AF** (Figure 2) can determine whether the destination IP address of the packet matches the filter address of the monitored web application. If the destination IP address does not match, the packet can be ignored (step **ST3**). Otherwise, the process proceeds to step **ST5**.

At step **ST5**, application filter **AF** (Figure 2) can determine whether the destination port of the packet matches the filter port of the monitored web application. If the destination port does not match, the packet can be ignored (step **ST3**). Otherwise, the process proceeds to step **ST6**.

At step **ST6**, the HTTP request packet can be reassembled into the PCP stream. Next, the PCP stream can be parsed into HTTP requests (step **ST7**).

Next, application filter **AF** can determine whether the host field of the HTTP request matches the filter (step **ST8**). If the host field does not match, the HTTP request can be ignored (step **ST9**). Otherwise, the process can proceed to step **ST10**. At step **ST10**, application filter **AF** can determine whether the request-URI matches include pattern. If the request-URI matches does not include the pattern, the HTTP request can be ignored (step **ST9**). A single web server may be virtually hosting multiple applications, only one of which security system **102** is configured to monitor. These additionally cannot

be filtered out at the TCP/IP layer. This step functions to eliminate traffic from application that it is not desired to monitor.

For example, the Host header supplied by HTTP/1.1 clients indicates the domain name of the intended application and supports virtual hosting of multiple applications on a single web server. If the value of this Host header does not match the domain names, the request can be ignored. Application filter **AF** can examine the URI of the HTTP request. In this case there are two lists, allowing the operator two choices of how to configure application filter **AF**. The first is an include list, meaning if the request URI matches the include pattern, the request is accepted. The second is an exclude list, meaning if the request URI matches the pattern, application filter **AF** can ignore the request. Otherwise, the process can proceed to step **ST11**.

At step **ST11**, application filter **AF** can determine whether the request-URI matches exclude pattern. If the request-URI matches does not exclude the pattern, the HTTP request can be ignored (step **ST9**). Otherwise, the process can proceed to step **ST12**. The node-ID can be extracted from the URI of the HTTP request (step **ST12**). Next, application filter **AF** can determine whether a node or web page with this name exists (step **ST13**). If a node with the name does not exist, a new node can be created and the content-type can be guessed from the request (step **ST14**). Initially, security system **102** does not have information about the monitored application. The various unique "parts" of a web application are designated by different URLs within the application. This collection of URLs that make up the application is one of the things desirable to learn. Node refers to a distinct URL within the application.

There are two problems related to learning the distinct URLs (i.e. nodes) that make up an application. First, the total set of unique URLs in an application may map to a smaller set of actual nodes. Second, a small number of apparent unique URLs may in fact map to a larger set of distinct nodes in the application. The step shown in **ST12** can perform these mappings. This allows security system **102** to provide an accurate assessment of what is happening in

the application than if just the raw URLs seen in requests is recorded. Next, the process can proceed to step **ST15**.

If a node with the name does exist, the request can be associated with the node (step **ST15**). Next, the request can be further processed (step **ST16**).

5 Further processing can include analysis by detectors **D1-D53** (Figure 2).

System blade saver **SAV** can periodically save any persistent blades. According to one embodiment, system blade saver **SAV** can save the information of other blades of security system **102** across multiple HTTP requests, sessions, logins, and users. The blades can store information  
10 whether security system **102** is rebooted or power-cycled. Each blade can indicate which of its data should be persistent and thus saved and restored by blade saver **SAV** when security system **102** is rebooted or power-cycled. Data not saved can be any data that is not associated with a user or web page.

Recorder **REC** can record at least a portion of the communications  
15 between web server **WS** and web-enabled devices **WED1**, **WED2**, and **WED3**. Recorder **REC** can store and retrieve information about each transaction in a persistent database.

Security system **102** can also include an active user sessions table **UST** for storing information regarding active user sessions established between web  
20 server **WS** and web-enabled devices **WED1**, **WED2**, and **WED3**. User sessions table **UST** can include a plurality of entries. Each entry can include a user name (if any associated with the session); session identifier (ID); client IP address; a security threat score associated with the session; start time for the session; number of requests during the session; web server associated with the  
25 session; threat count; threat score; and a method utilized by the client to authenticate (i.e., login).

## II. User Session Detection

Security system **102** can include a user session detector **USD** for  
30 detecting user sessions established between web server **WS** and web-enabled devices **WED1**, **WED2**, and **WED3**. According to one embodiment, user

session detector **USD** can associate received network traffic with a particular user session, such as an HTTP session. Therefore, user session detector **USD** can enable different traffic to be associated with different user sessions.

Referring to Figure 6, a flow chart, generally designated **600**, is provided which illustrates an exemplary process for associating received network traffic with user sessions established between a web server (such as web server **WS** or server **S** shown in Figures 1A and 1B, respectively) and one or more web-enabled devices (such as web-enabled devices **WED1**, **WED2**, and **WED3**). The exemplary process of flow chart **600** can be implemented by user session detector **USD**. The process can begin at step **ST1**. At step **ST2**, security system **102** (shown in Figures 1 and 2) can receive network traffic including client HTTP requests and outbound server HTTP responses.

Next, at step **ST3**, user session detector **USD** can determine whether the received network traffic is an incoming client HTTP request or an outbound server HTTP response. The direction of flow can be determined at the network layer, which notes the source and destination IP addresses of each packet, and can therefore determine in which direction the packet is going (i.e., client-to-server, or server-to-client). The traffic in both directions is still HTTP traffic and is treated largely the same in terms of parsing. However, the distinction between direction can be important in some places because, for example, the server only sends HTTP responses while the client only sends HTTP requests. Incoming client HTTP requests can be network traffic originating from a client (such as clients **C1**, **C2**, and **C3** shown in Figure 1A and web-enabled device **WED1**, **WED2**, and **WED3** shown in Figure 1B) and transmitting to a server (such as server **S** shown in Figure 1A and web server **WS** shown in Figure 1B) for requesting a web page. Outbound server HTTP responses can be network traffic originating from the web server and transmitting to the web-enabled device for communicating web page data. If the received traffic is an incoming client HTTP request, the process proceeds to step **ST4**. In step **ST4** and the steps that follow, the incoming HTTP client request can be examined to determine its associated user session. If the received traffic is an outbound

server HTTP response, the process proceeds to step **ST5**. In step **ST5** and the steps that follow, the outbound server HTTP response can be examined to determine its associated user session.

5 Step **ST4** can include extracting the requested session ID from the received client HTTP request. In this case the entire contents of the HTTP request may be needed for determining the session ID the client is requesting. In some cases, only two items are needed: the Cookie headers provided in the request which generally contain the session ID; and the request-URI which can contain the session ID as either query arguments or as part of the path part of the request-URI. In some other cases, the session ID may be found in the  
10 FORM data included with the request.

Next, user session detector **USD** can determine whether to examine cookies associated with the received client HTTP request (step **ST6**). If it is determined to examine cookies in step **ST6**, the session cookies for the session ID can be examined (step **ST7**). The process then proceeds to step  
15 **ST8**.

At step **ST8**, user session detector **USD** can determine whether to examine the URI and parameters associated with the received client HTTP request. If it is determined to examine URI and parameters associated with the received client HTTP request, the request-URI, query arguments, and form data for the session ID can be examined (step **ST9**). Because HTTP is a stateless protocol, an attempt must be made to associate each incoming request with an established session. The data can be examined here to determine if the request is part of an established session, and if so, which one.  
20 The process then proceeds to step **ST10**.

At step **ST10**, user session detector **USD** can determine whether an entry with the session ID is present in active user sessions table **UST**. Session table **UST** can map session IDs to session objects. The session IDs are generated by the application being monitored. Page: 31  
30 The session object is retrieved, the object contains the data. If an entry with the session ID is not in active sessions table **UST**, the incoming client HTTP



request is not an active user session and the process proceeds to step **ST11**. The process stops at step **ST11**.

Referring again to step **ST10**, if an entry with the session ID is contained in active user sessions table **UST**, a lookup for an entry with the session ID can be performed in active sessions table **UST** for retrieving data from active sessions table **UST** (step **ST12**).

Next at step **ST13**, user session detector **USD** can determine whether an entry with the session ID can be found in active sessions table **UST**. If an entry with the session ID is found, the current HTTP request can be associated with the found session entry (step **ST14**). If a session is not found in step **ST13**, it is determined whether the web server is permissive (step **ST15**). Certain web applications can accept a session ID that comes from the client and begin using it as the ID for a session even though the server does not recognize the ID as corresponding to an active session. This is called a permissive server. Typically, security system **102** only creates new sessions when it sees the server issue the session ID. However, if it is known that the server is permissive, a new session can be created for each unique ID arriving at the client (step **ST16**). If the web server is not permissive, the HTTP request is not part of an existing user session and the process stops (step **ST11**).

After step **ST16**, the current HTTP request can be associated with the found session entry (step **ST14**). The process can then stop (step **ST11**).

Referring again to step **ST3**, if the received traffic is an outbound server HTTP response, the process proceeds to step **ST17**. At step **ST17**, user session detector **USD** can extract the server ID session from the outgoing server HTTP response.

Step **ST5** can include extracting the requested session ID from the received client HTTP request. This is similar to the same process used to determine the requested session ID from the client in step **ST4**, except now it is applied to responses coming from the server where an attempt is being made to determine if the server has created a new session. The data used is

normally the Set-Cookie header which will contain the session ID. In some cases, it is necessary to examine the HTML content being returned to the client to look for links containing "fat URLs" which encode the session ID.

5       Next, user session detector **USD** can determine whether to examine cookies associated with the outgoing HTTP server response (step **ST17**). Typically, the session cookie is returned to the client in the form of a cookie. If it is determined to examine cookies in step **ST17**, the session cookies for the session ID can be examined (step **ST18**). The process then proceeds to step **ST19**.

10       At step **ST19**, user session detector **USD** can determine whether to examine the HTML associated with the outgoing HTTP server response. Step **ST19** is only needed when the application is **not** using HTTP cookies for session management. In this case, the application will have encoded the session ID within the HTML as either "fat URLs" or hidden FORM fields. If it is  
15       determined to examine the HTML associated with the outgoing HTTP server response, the HTML can be parsed for session ID in links and forms (step **ST20**). The process then proceeds to step **ST21**.

20       At step **ST21**, user session detector **USD** can determine whether an entry with the session ID is present in user sessions table **UST**. If an entry with the session ID is not in user sessions table **UST**, the outgoing HTTP server response is not an active user session and the process proceeds to step **ST22**. The process stops at step **ST10**.

25       Referring again to step **ST21**, if an entry with the session ID is contained in user sessions table **UST**, a lookup for the entry with the session ID can be performed in user sessions table **UST** for retrieving data from user sessions table **UST** (step **ST22**). Next, at step **ST23**, it is determined whether an entry with the lookup session ID is found in user sessions table **UST**. If a session is not found, a new entry with this session ID can be created and stored in user sessions table **UST** (step **ST24**). A session object is created and stored with  
30       the session ID as the key. All of the session fields previously discussed are filled in at this point, if available. For example, if the server is generating the

session ID in response to a login, then security system **102** will have the information it needs at this point. In other cases, the server will generate a session ID as soon as the client first “hits the home page” and a login will only be known later. Thus, the session can be created in the active sessions table, and the User-ID can be entered next. Next, the process can proceed to step **ST25**. If an entry is found in **ST23**, the process can proceed to step **ST25**.

At step **ST25**, user session detector **USD** can determine whether the server is expiring the cookie-based session. If the server is expiring the cookie-based session, the user session can expire (step **ST26**). The application can use a special syntax in the Set-Cookie header to instruct the client to immediately “forget” the value of a session cookie. This means the server is ending the session, and step **ST26** can observe that event. Next, the process can stop at step **ST10**. If the server is not expiring the cookie-based session, the process can also stop at step **ST10**.

### III. Login Session Detection

Security system **102** can include a login detector **LD** for detecting user login sessions established between a web application of web server **WS** and web-enabled devices **WED1**, **WED2**, and **WED3**. Login detector **LD** can associate data received from network connection **NC** with a particular user name. Therefore, different data can be associated with different user login sessions. Login detector **LD** can delineate logins and logouts at the application layer.

Referring to Figure 7, a flow chart, generally designated **700**, is provided which illustrates an exemplary process for associating network traffic with particular user login sessions. The exemplary process of flow chart **700** can be implemented by login detector **LD**. The process begins at step **ST1**. At step **ST2**, login detector **LD** can receive client HTTP requests from network connection **NC** or network **CN** (Figures 1A and 1B, respectively).

Next, at step **ST3**, login detector **LD** can determine whether the HTTP request includes NT LAN Manager (NTLM) authentication. If the HTTP request

includes NTLM authentication, the process proceeds to step **ST4**. If the HTTP request does not include NTLM authentication, the process proceeds to step **ST5**.

5       At step **ST5**, login detector **LD** can determine whether the HTTP request includes HTTP Digest authentication. If the HTTP request includes HTTP Digest authentication, the process proceeds to step **ST4**. If the HTTP request does not include HTTP Digest authentication, the process proceeds to step **ST6**.

10       At step **ST6**, login detector **LD** can determine whether the HTTP request includes HTTP Basic authentication. If the HTTP request includes HTTP Digest authentication, the process proceeds to step **ST4**. Otherwise, the process proceeds to step **ST7**.

15       As noted above if the HTTP request includes NTLM, HTTP Digest, or HTTP authentication, the process proceeds to step **ST4**. At step **ST4**, the user ID can be extracted from the HTTP request. The process can then proceed to step **ST8**.

20       At step **ST8**, login detector **LD** can determine whether the user ID is supplied. If there is not a non-empty user-id, nothing happens. It should proceed to step **ST11**.

25       If the user ID is supplied, it is determined whether the login succeeded (step **ST9**). The outbound HTTP server response can be examined to determine whether the login succeeded. If the login did not succeed, an indication of the failed login for the user and/or session can be stored (step **ST10**). Next, the process stops (step **ST11**). If the login did succeed, the process proceeds to step **ST12**.

30       At step **ST12**, login detector **LD** can determine whether a user login session exists or is created. If a session exists or is created, a user ID can be associated with the user session (step **ST13**). Next, the process can stop (step **ST11**). If a session does not exist and is not created, an indication of a successful login can be stored (step **ST14**). Next, the process can stop (step **ST11**).

Referring again to step **ST7**, it can be determined whether the incoming HTTP request includes a form-based login. If the incoming HTTP request does not include a form-based login, the incoming HTTP request is not a login attempt and the process stops at step **ST11**. Form-based authentication (login) is widely used in Internet or extranet web applications because of its portability, simplicity for implementers, flexibility, and seamless integration with the look-and-feel of the application. With Form-based authentication, the application will present the user with an HTML FORM containing, minimally, a field for the user-id and a field for the password. When the user fills out the form and submits it, the user-id and password are returned to the application as FORM data. The application retrieves these values and authenticates them against whatever database it is using. Typically, if the credentials are valid the application can redirect the user to an appropriate starting point in the application, while if the credentials are invalid the application will return an error page, usually containing the login form again. When combined with HTTPS it is considered a secure authentication mechanism. If the incoming HTTP request includes a form-based login, the process can proceed to step **ST15**.

At step **ST15**, the match request-URI can be matched against login form (step **ST15**). Security system **102** can be provided via configuration with a URL pattern that it uses to determine that the request is a submission of the login form. Step **ST15** can verify that the request is a submission of the login form and that the required parameters are present in the FORM data (e.g. user name and password parameters). Next, it is determined whether the request-URI matches the login form (step **ST16**). If the request-URI does not match the login form, the HTTP request is not a login attempt and the process stops at step **ST11**. Otherwise, the process proceeds to step **ST17**.

At step **ST17**, the form data can be matched against the pattern for the login page. Next, it is determined whether there are any matches (step **ST18**). If the form data does not match the pattern for the login page, the HTTP request is not a login attempt and the process stops at step **ST11**. Otherwise, the process proceeds to step **ST4**.

#### IV. Detectors

Security system **102** can include detectors **D1-D53** for monitoring and analyzing network traffic transmitted between web-enabled devices **WED1**, **WED2**, and **WED3** and web server **WS**. An operator of system **102** can disable any of detectors **D1-D53**. Detectors **D1-D53** can detect and alert an operator to potentially harmful or unauthorized use of a web application of a web server (such as web server **WS** or server **S** shown in Figures 1A and 1B, respectively). Each of detectors **D1-D53** can trigger when such an activity is detected and register a threat score for a user session and/or login session associated with the activity.

#### Login Activity Detectors

Security system **102** can detect and analyze login activity for security monitoring purposes. According to one embodiment, the analyzed login activity can be utilized to generate a security threat score for the login activity by comparing the analyzed login activity with threshold criteria. According to one embodiment, a login session is detected by utilizing login detector **LD** for associating network traffic transmitted between web server **WS** and web-enabled devices **WED1**, **WED2**, and **WED3** with a unique login. The network traffic associated with the session login can be collected for comparison to user-defined or predetermined threshold criteria. Login detector **LD** can always run. Detector **D1** can function as the external notification that a login has occurred. If the operator wants to see an audit trail of users logging into the application and assign an associated threat score with this action, detector **D1** can be enabled. Otherwise, detector **D1** can be disabled.

Detector **D1** can trigger when a user has properly logged in to the monitored application. Detector **D1** can require that the user has properly configured the logout detector procedure for the monitored web application. Detector **D1** can be disabled entirely or for only designated users.

Referring to Figure 8, a flow chart, generally designated **800**, is provided which illustrates an exemplary process for detecting and triggering when a user has properly logged into a monitored application. The process can be implemented by detector **D1** (Figure 2). The process can begin at step **ST1**.  
5 Next, detector **D1** can be configured (step **ST2**). The process can then proceed to step **ST3**.

Referring to step **ST3** of Figure 8, detector **D1** (Figure 2) can determine whether a user has successfully logged into the monitored web application. If the user does not successfully log in, the process can stop (step **ST4**). If the  
10 user successfully logs in, a security event can be generated and detector **D1** can trigger (step **ST5**). Next, the process can stop (step **ST4**).

Detector **D2** can detect login failures. Excessive occurrences of login failures can indicate a security threat. Detector **D2** can trigger on each login failure detection. Referring to Figure 9, a flow chart, generally designated **900**,  
15 is provided which illustrates an exemplary process for detecting and triggering when a login attempt fails. The process can begin at step **ST1**. Next, detector **D2** can be configured (step **ST2**).

Referring to step **ST3** of Figure 9, detector **D2** (Figure 2) can determine whether a login attempt has failed for any user. If a login attempt has not failed for any user, the process can stop (step **ST4**). Otherwise, if a login attempt has  
20 failed for any user, a security event can be generated or detector **D2** can trigger (step **ST5**). Next, the process can stop (step **ST4**).

Detector **D3** can detect that a user has properly logged off the monitored application. Detector **D3** does not trigger when the user does not log off and allows the session to automatically expire. Detector **D3** can require that the  
25 user has properly configured the logout detector procedure for the monitored web application. Detector **D3** can be disabled entirely or for only designated users.

Referring to Figure 10, a flow chart, generally designated **1000**, is provided which illustrates an exemplary process for detecting and triggering  
30 when a user has properly logged off a monitored application. The process can

be implemented by detector **D3** (Figure 2). The process can begin at step **ST1**. Next, detector **D3** can be configured (step **ST2**). The process can then proceed to step **ST3**.

5 Referring to step **ST3** of Figure 10, detector **D3** (Figure 2) can determine whether a user has successfully logged out. If the user does not successfully log out, the process can stop (step **ST4**). If the user successfully logs out, a security event can be generated and detector **D3** can trigger (step **ST5**). Next, the process can stop (step **ST4**).

10 Detector **D4** can detect when the number of login failures during a single session exceeds a predetermined threshold number. An operator can set the predetermined threshold number. When the predetermined threshold number is exceeded, detector **D4** can trigger. Detector **D4** can require that the monitored web application create sessions prior to a successful login. According to one embodiment, if a web application only creates sessions on a  
15 successful login, then detector **D4** has no effect. As described herein, user session detector **USD** and login detector **LD** can associate received data with a particular session and/or user login, respectively. The predetermined threshold number of logins can be configured by an operator.

20 Referring to Figure 11, a flow chart, generally designated **1100**, is provided which illustrates an exemplary process for detecting when the number of login failures during a single session exceeds a predetermined number. The process can be implemented by detector **D4** (Figure 2). The process can begin at step **ST1**. Detector **D4** can be configured with a login failure count limit (step **ST2**). The process can then proceed to step **ST3**.

25 Referring to step **ST3** of Figure 11, detector **D4** can determine whether a login attempt failed for a session. If the login attempt did not fail for the session, the process can stop (step **ST4**). Otherwise, if the login attempt failed for the session, detector **D4** can increment the login failure count for the session and determine whether the login failure count for the session is greater  
30 than the predetermined number (step **ST5**). If the login failure count for the session is not greater than the predetermined number, a security event can be



generated or detector **D4** can trigger (step **ST6**). Next, the process can stop (step **ST4**). Referring again to step **ST5**, if the login failure count for the session is not greater than the predetermined number, the process can stop **ST4**.

5           Detector **D5** can detect when the login failure rate for a user is not in accordance with an observed login failure rate for all observed sessions. Figures 12A and 12B illustrate flow charts, generally designated **1200** and **1202**, respectively, of exemplary processes operating in combination for detecting and triggering when the login failure rate for a user is not in  
10           accordance with an observed login failure rate for all observed sessions. Referring specifically to Figure 12A, the flow chart **1200** which illustrates an exemplary process for determining a normal login failure rate for all sessions. The process can begin at step **ST1** when a login attempt by any user is detected. Next, a counter for the total number of login attempts can be  
15           incremented by 1 (step **ST2**). Additionally, a counter for the login attempts during an interval can be incremented by 1 (step **ST2**). The process can then proceed to step **ST3**.

            Referring to step **ST3** of Figure 12A, detector **D5** can determine whether the login attempt was successful. If the login attempt was successful, the  
20           process can stop (step **ST4**). If the login attempt was not successful, a counter for the total number of login failures can be incremented by 1 and a counter for the total number of login failures during an interval can be incremented by 1 (step **ST5**). Next, the process can stop **ST4**.

            Referring now to Figure 12B, the flow chart **1202** illustrates an  
25           exemplary process for triggering when the login failure rate for a user is not in accordance with an observed login failure rate for the session observed by the process of Figure 12A. The process can begin at step **ST1**. Next, detector **D5** can determine whether a warm-up period is finished (step **ST2**). When security system **102** is enabled, the detectors are accumulating some statistic that will  
30           be used to judge behavior as normal or abnormal. However, to have statistical relevance the detectors must not begin triggering too soon. Therefore, a warm-

up period is used, measured either as a minimum number of observations or a minimum time period, during which the detector cannot be triggered. When the learning period is finished, the detector can begin generating events in addition to continuing to accumulate statistics. If the warm-up period is not finished, the process can proceed to step **ST3**. Otherwise, the process can compute the interval failure rate for all users (step **ST4**) and proceed to step **ST5**. The interval failure rate can be determined from the process of Figure 12A.

Referring to step **ST5** of Figure 12B, detector **D5** can determine whether the interval failure rate for all users is greater than a predetermined limit. If the interval failure rate for all users is greater than the predetermined limit, a security event can be generated or detector **D5** can trigger (step **ST6**) and proceed to step **ST3**. Otherwise, if the interval failure rate for all users is not greater than the predetermined limit, the process can proceed to step **ST3**.

Referring to step **ST3** of Figure 12B, detector **D5** can determine whether the limit type is relative. Detector **D5** can learn the normal rate of login failures for the application. It provides two choices for setting the conditions under which it will be triggered. In ABSOLUTE mode, the operator provides a simple limit (e.g., 50%) and whenever the current rate of login failures is above the limit, detector **D5** can be triggered. With the REATIVE choice, detector **D5** can be triggered whenever the current rate of login failures is a given percentage above normal, for example 30%, above normal. If the limit type is not relative, the process can proceed to step **ST7**. Otherwise, if the limit type is relative, detector **D5** can compute the limit as a percentage of the average failure rate (step **ST8**). The average failure rate can be determined from the process of Figure 12A. Next, the process can proceed to step **ST7**.

Referring to step **ST7** of Figure 12B, the counter for the interval logins (described in the process of Figure 12A) can be set to 0. Additionally, the counter for the interval failures (described in the process of Figure 12A) can be set to 0 (step **ST7**). The process can then stop at step **ST9**.

Detector **D6** can detect when the number of login failures using the same user identification exceeds a predetermined number over a period of

time. If a user is repeatedly failing to login correctly, it can suggest that the user is guessing passwords or that the user's account has been locked out.

5 Referring to Figure 13, a flow chart, generally designated **1300**, is provided which illustrates an exemplary process for detecting when the number of login failures using the same user identification exceeds a predetermined number over a period of time. Detector **D6** can implement the process. The process can begin at step **ST1**. Next, detector **D6** can be configured with a login failure limit and a time interval (step **ST2**). The process can then proceed to step **ST3**.

10 Referring to step **ST3** of Figure 13, detector **D6** can determine whether a login attempt failed for a user. If the login attempt did not fail for the user, the process can stop (step **ST4**). Otherwise, if the login attempt failed, the process can proceed to step **ST5**.

15 Referring to step **ST5** of Figure 13, detector **D6** can determine whether the login failure occurred within the configured time interval. If the login failure did not occur within the configured time interval, the process can stop (step **ST4**). Otherwise, the process can proceed to step **ST6**.

20 Referring to step **ST6** of Figure 13, detector **D6** can increment a login failure count for the failed login and determine whether the login failure count is greater than the predetermined login failure limit. If the login failure count is not greater than the predetermined login failure limit, the process can stop **ST4**. Otherwise, a security event can be generated or detector **D6** can trigger (step **ST7**). Next, the process can stop (step **ST4**).

25 Detector **D7** can detect when the number of login failures for the web application for all users exceeds a predetermined number over a period of time.

Referring to Figure 14, a flow chart, generally designated **1400**, is provided which illustrates an exemplary process for detecting when the number of login failures for the web application for all users exceeds a predetermined number over a period of time. The process can begin at step **ST1**. Next, detector **D7** can be configured with a login failure limit and a time interval (step **ST2**). The process can then proceed to step **ST3**.

30

Referring to step **ST3** of Figure 14, detector **D7** can determine whether a login attempt failed for any user. If the login attempt did not fail for any user, the process can stop (step **ST4**). Otherwise, if the login attempt failed, the process can proceed to step **ST5**.

5 Referring to step **ST5** of Figure 14, detector **D7** can determine whether the login failure occurred within the configured time interval. If the login failure did not occur within the configured time interval, the process can stop (step **ST4**). Otherwise, the process can proceed to step **ST6**.

10 Referring to step **ST6** of Figure 14, detector **D7** can increment a login failure count for the failed login and determine whether the login failure count is greater than the predetermined login failure limit. If the login failure count is not greater than the predetermined login failure limit, the process can stop **ST4**. Otherwise, a security event can be generated or detector **D7** can trigger (step **ST7**). Next, the process can stop (step **ST4**).

15 Detector **D8** can detect when the number of failed logins from any single IP address exceeds a predetermined number over a period of time. Referring to Figure 15A, a flow chart, generally designated **1500**, is provided which illustrates an exemplary process for determining and triggering when the number of failed logins from any single IP address exceeds a predetermined number over a period of time. The process can begin at step **ST1**. At step **ST2**, detector **D8** can be configured with a number limit for login failures for an IP address and a time interval.

20 Referring to step **ST3** of Figure 15A, detector **D8** (Figure 2) can determine whether a login attempt has failed for any user. If a login attempt has not failed for any user, the process can stop (step **ST4**). Otherwise, if a login attempt has failed for any user, the client IP address of the current request associated with the failed login can be retrieved (step **ST5**).

25 Referring to step **ST6** of Figure 15A, detector **D8** (Figure 2) can determine whether the login failure for the IP address occurred within the configured time interval. If the login failure did not occur within the configured

30

time interval, the process can stop (step **ST4**). Otherwise, if the login failure did occur within the configured time interval, the process can proceed to step **ST7**.

Referring to step **ST7** of Figure 15A, detector **D8** (Figure 2) can determine whether the login failure count or number of login failures for the IP address is greater than the configured number limit for login failures. If the  
5 login failure count is not greater than the limit, the process can stop (step **ST4**).

Otherwise, if the login failure count is greater than the limit, a security event can be generated or detector **D8** can trigger (step **ST8**). Next, the process can stop (step **ST4**).

10 Detector **D9** can detect that a user has logged in more than once at the same time. An operator of system **102** can disable detector **D9**. Referring to Figure 15B, a flow chart, generally designated **1502**, is provided which illustrates an exemplary process for determining and triggering when a user has logged in more than once at the same time. The process can begin at step  
15 **ST1**. At step **ST2**, detector **D9** can determine whether a received request is part of a session in user sessions table **UST** (Figure 2). If the request is not part of a session, the process can stop (step **ST3**). Otherwise, if the request is part of a session, the process can proceed to step **ST4**.

Referring to step **ST4** of Figure 15B, detector **D9** can determine whether  
20 detector **D9** has already triggered for the session. If detector **D9** has already triggered, the process can stop **ST3**. Otherwise, the process can proceed to step **ST5**.

Referring to step **ST5** of Figure 15B, detector **D9** can determine whether a user is logged into the session associated with the request. If a user is not  
25 logged into the session associated with the request, the process can stop (step **ST3**). Otherwise, if the user is logged into the session associated with the request, the process can proceed to step **ST6**.

Referring to step **ST6** of Figure 15B, detector **D9** can retrieve the session ID for the user. Next, detector **D9** can determine whether the request  
30 session matches the session ID for the user (step **ST7**). If there is a match, the

process can stop (step **ST3**). Otherwise, a security event can be generated or detector **D9** can trigger (step **ST8**).

5        Detector **D10** can be triggered when the number of logins during a time period exceeds a predetermined threshold. Alternatively, detector **D10** can be triggered when the rate of logins by a user exceeds a predetermined threshold. An operator of system **102** can disable detector **D10**.

10        Detector **D11** can detect subsequent logins by the same user from different subnets or IP addresses. Detector **D11** can monitor for a user login from a different IP address or subnet than the last login within a predetermined time period. Detector **D11** can be utilized to determine whether users are sharing credentials. Referring to Figure 15C, a flow chart, generally designated **1504**, is provided which illustrates an exemplary process for determining and triggering when subsequent logins occur by the same user from different subnets or IP addresses. The process can begin at step **ST1**.

15        Referring to step **ST2** of Figure 15C, detector **D11** can determine whether a user login is successful. If the login is not successful, the process can stop (step **ST3**). Otherwise, the process can set the previous login time to the last login time for the user (step **ST4**). Subsequently, at step **ST4**, the last login time can be set to the current time. Next, the previous IP address for the previous login is set to the last IP address (step **ST5**). Subsequently, at step **ST5**, the last IP address is set to the IP address associated with request messages associated with the current login. Next, the process proceeds to step **ST6**.

20        Referring to step **ST6** of Figure 15C, detector **D11** can determine whether the difference between the last login time and the previous login time is less than a predetermined time interval limit. If the difference is not less than the limit, the process can stop **ST3**. Otherwise, if the difference is less than the limit, the source network of the last-seen IP address can be determined by applying a network mask (step **ST7**). Next, the source network of the previous IP address can be determined by applying the network mask (step **ST8**). Next, detector **D11** can determine whether the user's IP address or source network

has changed by comparing the two source network values (step **ST9**). If the two IP addresses are not different in step **ST9**, the process can stop (step **ST3**). Otherwise, a security event can be generated or detector **D11** can trigger (step **ST10**).

5           Detector **D12** can detect users logging onto a web application during a disallowed time period. For example, certain time periods, such as a particular day of the week, can be disallowed for login. Users logging on during this time period can trigger detector **D12**.

10           Detector **D13** can detect when a user's login time is not in accordance with observed login time behavior for the user. For example, detector **D13** can observe that a user typically logs on Monday through Friday at about 9:00AM. Detector **D13** can trigger when the user logs on during a time deviating a predetermined amount from the observed login times, such as at 4:00AM on Sunday.

15           Figures 16A and 16B illustrate flow charts, generally designated **1600** and **1602**, respectively, of exemplary processes operating in combination for detecting and triggering when a user's login time is not in accordance with observed login time behavior for the user. Figure 16A shows the "triggering" side of detector **D13**. Assuming detector **D13** knows a normal login time was  
20           for the user, it can easily be determined if a login right now is abnormal. Figure 16B shows the "learning" side of this detector, in which what is normal is learned. This can be accomplished by constructing two histograms for every user in the system. For example, one histogram can be constructed for access during the week, Monday through Friday. Additionally, for example, one  
25           histogram can be constructed for weekend access, Saturday and Sunday. Each histogram consists of 24 accumulators, one for each hour of the day. Each time the user is active during a given hour, detector **D13** can increment the counter for that hour.

30           Referring specifically to Figure 16A, the process can begin at step **ST1** when a login attempt is detected. Next, at step **ST2**, detector **D13** can determine whether the login attempt was successful. If the login attempt was

not successful, the process can stop **ST3**. If the login attempt was successful, a user ID can be retrieved from the HTTP request associated with the login (step **ST4**). Next, the process can proceed to step **ST5**.

5 Referring to step **ST5** of Figure 16A, detector **D13** can determine whether the total user logins is greater than warm-up. If the total user logins is not greater than warm-up, the process can stop (step **ST3**). Otherwise, if total user logins is greater than warm-up, a user time-of-day access histogram for the user can be retrieved (step **ST6**). Next, the process can proceed to step **ST7**.

10 Referring to step **ST7** of Figure 16A, detector **D13** can determine whether the login time for the user is normal based on the user time-of-day access histogram for the user. If the login time is normal, the process can stop (step **ST3**). Otherwise, if the login time is not normal, a security event can be generated or detector **D13** can trigger (step **ST8**). Next, the process can  
15 proceed to step **ST5**.

Referring now to Figure 16B, the process can start at step **ST1**. Next, detector **D13** can set the session duration to the difference of the last accessed time to the start time (step **ST2**). Next, detector **D13** can add 1 to a counter for each hour of day that spans the session (step **ST3**). The process can then  
20 stop (step **ST4**).

Detector **D14** can detect when a user has not properly logged off from a monitored web application before the session associated with the logon expires. Users allowing the session to expire rather than logging off can increase the risk of session-based attacks against a web application. Detector  
25 **D14** can require that the user has correctly used the logout procedure of the monitored web application.

Detector **D15** can detect and trigger when an authenticated user subsequently logs in on the same session as a different user. If the monitored web application allows, intentionally or unintentionally, for a user to log in a  
30 second time with different credentials while maintaining the same session, detector **D15** can provide a notification when this occurs.



### User Behavior Profiling

Security system **102** can detect when the current activity of users deviates from expected behavior activity based on user behavior profiling.

5 Security system **102** can also detect when the current activity deviates a predetermined amount from a predetermined value. When the behavior activity deviates the predetermined amount, triggering can occur to register a threat score for a user session and/or login session associated with the activity.

10 Detector **D16** can trigger when the number of requests for a web page is unusually high. Detector **D16** can monitor the requests for a web page from all users and generate a behavior profile for the requests for the web page for all users. According to one embodiment, the behavior profile can include the expected rate of web page requests or the expected number of web page requests over a predetermined period of time. Detector **D16** can determine the  
15 expected rate of web page requests by dividing the number of web page requests over a period of time by the time period. Detector **D16** can determine the expected number of web page requests over a predetermined period of time by monitoring the web page request over a time period equal to the predetermined period of time. Subsequently, detector **D16** can monitor the  
20 web page requests and trigger when the rate of web page requests deviates from the expected rate or the number of web page requests deviates from an expected number over a period of time. Detector **D16** can be set to trigger when the rate of web page requests or the number of web page request over a period of time deviates a predetermined rate or number, respectively, from the  
25 expected rate or number of web page requests, respectively. Detector **D16** can be useful for detecting an abnormal usage patterns, such as data mining.

Figures 17A and 17B illustrate flow charts, generally designated **1700** and **1702**, respectively, of exemplary processes operating in combination for detecting and triggering the number of requests for a web page is abnormal.  
30 The processes of Figures 17A and 17B can be implemented by detector **D16**. Figure 17A shows the triggering side of detector **D16**. Figure **17B** shows the

learning side of detector **D16**. Note that for users sessions create natural boundaries for calculating statistics, while for Pages (nodes) in the application, no such natural boundary exists. Therefore, detector **D16** can utilize a configurable time interval for computing statistics against nodes. At the end of each time interval, the statistics make up a single observation. For example, the request count at the end of each interval makes one observation that is used to calculate an average interval request count. At the end of the interval, the counters are reset and the next interval begins.

Referring specifically to Figure 17A, the process can begin at step **ST1** when a request is received. Next, a node or web page can be extracted from the request (step **ST2**).

Referring to step **ST3** of Figure 17A, detector **D16** can determine whether detector **D16** has already triggered for this node or web page in this interval. If detector **D16** has already triggered, the process can stop (step **ST4**). Otherwise, if detector **D16** has not already triggered, detector **D16** can compute the node or web page average request count per interval (step **ST5**). Next, step **ST6**, detector **D16** can compute a limit as a percentage of the average request determine in step **ST5**. The process can then proceed to step **ST7**.

Referring to step **ST7** of Figure 17A, detector **D16** can determine whether the current interval request count is greater than the limit. If the current interval request count is not greater than the limit, the process can proceed to step **ST4**. Otherwise, if the current interval request count is greater than the limit, a security event can be generated and detector **D16** can trigger (step **ST8**). Next, the process can stop (step **ST4**).

Referring now to Figure 17B, the process can start at step **ST1**. Next, detector **D16** can accumulate interval statistics (step **ST2**). Detector **D17** can also reset interval counters (step **ST3**). The process can then stop (step **ST4**).

Detector **D17** can trigger when the number of requests within a single session exceeds a predetermined number during a period of time. When a user issues web page or HTTP requests too quickly, it can indicate that a tool is

being used or that the user's browser is not throttling properly. Referring to Figure 18, a flow chart, generally designated **1800**, is provided which illustrates an exemplary process for determining and triggering when the number of requests within a single session exceeds a predetermined number during a period of time. The process can begin at step **ST1**.

Referring to step **ST2** of Figure 18, the predetermined limits for detector **D17** can be configured or set. The predetermined limits can include a session request count and a session request rate.

Next, at step **ST3** of Figure 18, detector **D17** can determine whether the HTTP request is part of a user session in user sessions table **UST** (Figure 2). If the HTTP request is not part of a user session in user sessions table **UST**, the process can stop (step **ST4**). Otherwise, the process can proceed to step **ST5**.

Referring to step **ST5** of Figure 18, detector **D17** can determine whether triggering has already occurred for the user session associated with the HTTP request. If triggering has already occurred for the user session, the process can stop (step **ST4**). Otherwise, the process can proceed to step **ST6**.

Referring to step **ST6** of Figure 18, detector **D17** can determine whether the session duration associated with the HTTP request is greater than 0. If the session duration is not greater than 0, the process can stop (step **ST4**). If the session duration is greater than 0, the process can proceed to step **ST7**.

Referring to step **ST7** of Figure 18, detector **D17** can determine whether the request count for the user session is greater than the predetermined limit. If the request count is not greater than the predetermined limit, the process can stop (step **ST4**). If the request count is greater than the predetermined limit, the process can proceed to step **ST8**.

Referring to step **ST8** of Figure 18, detector **D17** can determine the session request rate for the user session. Next, at step **ST9**, detector **D18** can determine whether the request rate for the user session is greater than the predetermined request rate limit. If the request rate for the user session is not greater than the predetermined request rate limit, the process can stop (step

**ST4**). Otherwise, if the request rate for the user session is greater than the predetermined request rate limit, a security event can be generated or detector **D17** can trigger (step **ST10**).

5        Detector **D18** can trigger when the number of requests for a web page for a user session is unusually high. According to one embodiment, detector **D18** can trigger when the number of requests for a web page for the user session deviates a predetermined number compared to the average number of requests for the web page for all user sessions.

10        Detector **D19** can trigger when a user session duration deviates from expected user behavior. Detector **D19** can monitor the user session durations over a period of time for generating the expected session duration for the user. The expected session duration can be an average. Detector **D19** can be set to trigger when the user session deviates a predetermined time period from the expected session duration for the user.

15        Figures 19A and 19B illustrate flow charts, generally designated **1900** and **1902**, respectively, of exemplary processes operating in combination for triggering and detecting when a user session duration deviates from expected user behavior. Detector **D19** (Figure 2) can implement the processes of Figures 19A and 19B. Figure 19A shows the triggering side of detector **D19**.  
20        Figure 19B shows the learning side. At the end of each session, detector **D19** compute the session duration and use it to compute the average session duration for each user in the system.

     Referring specifically to Figure 19A, the process can begin at step **ST1**. Next, detector **D19** can determine whether the request is part of a monitored  
25        session (step **ST2**). If the request is not part of a monitored session, the process can stop (step **ST3**). Otherwise, if the request is part of a monitored session, the process can proceed to step **ST4**.

     Referring to step **ST4** of Figure 19A, detector **D19** can determine whether the session has a logged in user. If the session does not have a  
30        logged in user, the process can stop (step **ST3**). Otherwise, if the session has a logged in user, the process can proceed to step **ST5**.

Referring to step **ST5** of Figure 19A, detector **D19** can determine whether detector **D19** has already triggered for the session associated with the request. If detector **D19** has already triggered, the process can stop (step **ST3**). Otherwise, if detector **D19** has not triggered, the process can proceed to step **ST6**.

Referring to step **ST6** of Figure 19A, detector **D19** can determine whether the user's session duration total is greater than the warm-up count. A minimum number of sessions must be observed before an estimate of the average session duration can be computed. During this warm-up period, detector **D19** cannot be triggered. If the user's session duration total is not greater than the warm-up count, the process can stop (step **ST3**). Otherwise, if user's session duration total is greater than the warm-up count, detector **D19** can compute the limit as a percentage of the user's average session duration (step **ST7**). Next, at step **ST8**, detector **D19** can determine whether the monitored session's duration is greater than the limit computed in step **ST7**. If the monitored session's duration is not greater than the limit, the process can stop (step **ST3**). Otherwise, a security event can be generated or detector **D19** can trigger (step **ST9**). Next, the process can stop (step **ST3**).

Referring now to Figure 19B, the process can begin at step **ST1** when a user logs out. Next, detector **D19** can accumulate session duration statistics for the session associated with the user logging out (step **ST2**). Next, the process can stop (step **ST3**).

Detector **D20** can trigger when a user session duration deviates from an expected user session duration based on all users. Detector **D20** can monitor all user session durations over a period of time for generating the expected user session duration for all users. Detector **D20** can be set to trigger when the user session deviates a predetermined time period from the expected session duration for all users.

Figures 20A and 20B illustrate flow charts, generally designated **2000** and **2002**, respectively, of exemplary processes for detecting and triggering when a user session duration deviates from an expected user session duration

based on all users. Referring specifically to Figure 20A, the process can begin at step **ST1**. Next, detector **D20** can determine whether the warm-up period is finished (step **ST2**). The warm-up period is the minimum numbers of sessions that must be observed before a meaningful average session duration can be calculated. During the warmup time, the detector cannot be triggered. If the warm-up period is not finished, the process can stop (step **ST3**). Otherwise, if the warm-up period is finished, the process can proceed to step **ST4**.

Referring to step **ST4** of Figure 20A, detector **D20** can determine whether the request is part of the monitored session. If the request is not part of the monitored session, the process can stop (step **ST3**). Otherwise, if the request is part of the monitored session, the process can proceed to step **ST5**.

Referring to step **ST5** of Figure 20A, detector **D20** can determine whether detector **D20** has already triggered for this session. If detector **D20** has already triggered for this session, the process can stop (step **ST3**). Otherwise, if detector **D20** has not already triggered for this session, the process can proceed to step **ST6**.

Referring to step **ST6** of Figure 20A, detector **D20** can determine whether the duration for this session is greater than a predetermined limit. If the session duration is not greater than the limit, the process can stop (step **ST3**). Otherwise, if the session duration is not greater than the limit, a security event can be generated or detector **D20** can trigger (step **ST7**). Next, the process can stop (step **ST3**).

Referring now to Figure 20B, the process can begin at step **ST1**. Next, detector **D20** can determine whether the session is new. New session can refer the server generating a session ID in response to a request, but the client has never returned the session ID to the server. If the session is new, the process can stop (step **ST3**). If the session is not new, detector **D20** can accumulate statistics for the session duration. Next, the process can proceed to step **ST5**.

Referring to step **ST5** of Figure 20B, detector **D20** can determine whether the warm-up period is finished. If the warm-up period is finished, the

process can proceed to step **ST6**. If the warm-up period is not finished, the process can proceed to step **ST7**.

Referring to step **ST7** of Figure 20B, detector **D20** can determine whether the total session duration is greater than the warm-up count. If the total session duration is not greater than the warm-up count, the process can proceed to step **ST6**. Otherwise, if the total session duration is greater than the warm-up count, the warm-up period can be finished (step **ST8**). Next, detector **D20** can calculate the average, standard deviation, and limit for the session. For each observation, detector **D20** can accumulate the sum of the values, the sum of the squares of the values, and the count of observations. Detector **D20** can then use standard formulas to compute the sample average and standard deviation. The standard deviation is a measure of the variability in the observations.

Referring to step **ST6** of Figure 20B, detector **D20** can recalculate the average, standard deviation, and limit for the session every 10 sessions. Next, the process can proceed to step **ST11**.

Referring to step **ST11** of Figure 20B, detector **D20** can determine whether to trigger on short sessions. Detector **D20** can provide a configuration option to also trigger on sessions that are abnormally shorter than average. If detector **D20** does not trigger on short sessions, the process can stop (step **ST3**). Otherwise, the process can proceed to step **ST12**.

Referring to step **ST11** of Figure 20B, detector **D20** can determine whether the session duration is less than the limit determined in steps **ST6** or **ST9**. If the session duration is not less than the limit, the process can stop (step **ST3**). Otherwise, a security event can be generated or detector **D20** can trigger (step **ST13**). Next, the process can stop (step **ST3**).

Detector **D21** can trigger when a user's session duration exceeds a predetermined period of time. The predetermined period of time can be configured by the operator. Referring to Figure 21, a flow chart, generally designated **2100**, is provided which illustrates an exemplary process for triggering when a user's session duration exceeds a predetermined period of

time. The process can begin at **ST1**. At step **ST2**, the session duration limit can be set. Next, detector **D21** can determine whether the user session has triggered already (step **ST3**). If detector **D21** has triggered already, the process stops (step **ST4**). If detector **D21** has not triggered already, the process can proceed to step **ST5**.

Referring to step **ST5** of Figure 21, detector **D21** can determine whether the session duration exceeds the session duration limit. If the session duration does not exceed the session duration limit, the process stops (step **ST4**). If the session duration exceeds the session duration limit, a security event can be triggered or detector **D21** triggers (step **ST6**) and the process stops (step **ST4**).

Detector **D22** can trigger when user activity in a session deviates from expected or normal user activity for all user sessions. An operator can adjust the deviation required for detector **D22** to trigger. For example, the operator can configure detector **D22** such that triggering occurs when user activity is two standard deviations from the normal user activity.

Figures 22A and 22B illustrate flow charts, generally designated **2200** and **2202**, respectively, of exemplary processes operating in combination for detecting and triggering when user activity in a session deviates from expected or normal user activity for all user sessions. Referring specifically to Figure 22A, the process can begin at step **ST1**. Next, detector **D22** can determine whether the warm-up period is finished (step **ST2**). Detector **D22** is based on the average number of requests in a session across all users. The warm-up period is used to observe the minimum number of sessions needed to compute a meaningful average. During this time, detector **D22** cannot be triggered. If the warm-up period is not finished, the process can stop (step **ST3**). Otherwise, if the warm-up period is finished, the process can process to step **ST4**.

Referring to step **ST4** of Figure 22A, detector **D22** can determine whether the request is part of a monitored session. If the request is not part of a monitored session, the process can stop (step **ST3**). Otherwise, if the request is part of a monitored session, the process can proceed to step **ST5**.



Referring to step **ST5** of Figure 22A, detector **D22** can determine whether detector **D22** has already triggered for this session. If detector **D22** has already triggered for this session, the process can stop (step **ST3**). Otherwise, if detector **D22** has not already triggered for this session, the process can proceed to step **ST6**.

Referring to step **ST6** of Figure 22A, detector **D22** can determine whether the request count for the session is greater than a predetermined limit. The predetermined limit for detector **D22** is expressed as a multiple of the standard deviation. When the request volume is greater than this limit, the detector is triggered. If the session request count is not greater than the limit, the process can stop **ST3**. Otherwise, if the session request count is greater than the limit, a security event can be generated or detector **D22** can trigger (step **ST7**). Next, the process can stop (step **ST3**).

Referring now to Figure 22B, the process can start at step **ST1**. Next, detector **D22** can determine whether the monitored session is not new (step **ST2**). The monitored session is not new if the client has issued any requests in the session, i.e. the request count is greater than zero. If the monitored session is not new, detector **D22** can accumulate session request count data for the session (step **ST3**) and the process can proceed to step **ST4**. Otherwise, if the monitored session is new, the process can stop **ST5**.

Referring to step **ST4** of Figure 22B, detector **D22** can determine whether the warm-up period is finished. If the warm-up period is not finished, the process can proceed to step **ST6**. Otherwise, if the warm-up period is finished, detector **D22** can recomputed the count average, the standard deviation and the limit for every 100 sessions. Even when the warmup period has finished and detector **D22** is operating, detector **D22** can continue to observe sessions and compute the average, standard deviation, and limit every 100 sessions. This allows it to adapt to changes in application usage over time. Next, the process can stop (step **ST5**).

Referring to step **ST6** of Figure 22B, detector **D22** can determine whether the number of sessions is greater than the warm-up count. The warm-

up count here is a number of sessions provided by the operator. If the number of sessions is not greater than the warm-up count, the process can stop (step **ST5**). Otherwise, if the number of sessions is greater than the warm-up count, the warm-up period can be set to finished (step **ST8**). Next, detector **D22** can compute or determined the count average, the standard deviation and the limit for the session. For each session observed, the request count at the end of the session is one observation. The number of observations, the sum, and the sum of the squares can be accumulated. Standard formulas can be used to calculate the average and standard deviation from these. Next, the process can stop (step **ST5**).

Detector **D23** can trigger when user activity deviates from expected user activity for the user. An operator can adjust the deviation required for detector **D23** to trigger. Figures 23A and 23B illustrate flow charts, generally designated **2300** and **2302**, respectively, of exemplary processes operating in combination for detecting and triggering when user activity deviates from expected user activity for a user. Figure **D23** can determine the average session request volume across all sessions. Detector **D23** can determine the average session request volume per user. The warm-up count is a number of sessions specified by the operator required to compute the average.

Referring specifically to Figure 23A, the process can start at step **ST1**. Next, detector **D23** can determine whether an HTTP request is part of a monitored session (step **ST2**). If the request is not part of the monitored session, the process can stop (step **ST3**). Otherwise, if the request is part of the monitored session, the process can proceed to step **ST4**.

Referring to step **ST4** of Figure 23A, detector **D23** can determine whether the monitored session has a logged in user. If the session does not have a logged in user, the process can stop (step **ST3**). Otherwise, if the session has a logged in user, the process can proceed to step **ST5**.

Referring to step **ST5** of Figure 23A, detector **D23** can determine whether detector **D23** has already triggered for this session. If detector **D23** has already triggered for this session, the process can stop (step **ST3**).

Otherwise, if detector **D23** has not already triggered for this session, the process can proceed to step **ST6**.

5 Referring to step **ST6** of Figure 23A, detector **D23** can determine whether the total request number for the session is greater than a warm-up count. If the total request number for the session is not greater than the warm-up count, the process can stop (step **ST3**). Otherwise, if the total request number for the session is greater than the warm-up count, detector **D23** can compute a request limit as a percentage of the user's average session request volume (step **ST7**). Next, the process can proceed to step **ST8**.

10 Referring to step **ST8** of Figure 23A, detector **D23** can determine whether the monitored session's request count is greater than the request limit. If the session's request count is not greater than the request limit, the process can stop (step **ST3**). Otherwise, if the session's request count is greater than the request limit, a security event can be generated or detector **D23** can trigger (step **ST9**). Next, the process can stop (step **ST3**).

15 Referring now to Figure 23B, the shown process includes steps for determining session request statistics. The process can begin at step **ST1** when a user logout is detected. Next, detector **D23** can accumulate session request volume statistics for use in the process of Figure 23A. Next, the process can stop (step **ST3**).

20 Detector **D24** can detect when the rate of web page errors is higher than normal, or higher than a predetermined amount. A web page that is generating excessive errors may be under attack or the web application may be malfunctioning. Referring to Figure 24, a flow chart, generally designated 25 **2400**, is provided which illustrates an exemplary process for determining when the rate of web page errors is higher than normal. The process can begin at step **ST1**. At step **ST2**, detector **D24** can be configured with predetermined limits for triggering. Detector **D24** can be set to trigger at a predetermined error rate limit. Detector **D24** can also observe the normal page error rate for all 30 users. Detector **D24** can determine the page error rate across all users. Detector **D24** can determine the fraction of requests during any interval for

which the page returns an error (defined by HTTP status codes and/or HTML errors). Each interval provides one observation for calculating the average. Detector **D24** can also be set with different trigger types

Two triggering methods can be provided for selection by the operator.  
5 For ABSOLUTE mode, the operator can specify a page error rate from 0 to 100%. When the actual error rate in any interval exceeds this value, detector **D24** can trigger. In RELATIVE mode, the operator can specify the limit as a percentage above the normal error rate (e.g. 30% above normal).

Referring to step **ST3** of Figure 24, the node or web page request can  
10 be extracted from the HTTP request. Next, detector **D24** can determine whether triggering has already occurred for this node or web page (step **ST4**). If triggering has already occurred for this node or web page, the process can stop (step **ST5**). Otherwise, detector **D24** can determine the interval error rate for the node or web page (step **ST6**). Next, the process can proceed to step  
15 **ST7**).

Referring to step **ST7** of Figure 24, the detector **D24** can determine whether the trigger type is absolute. If the trigger type is absolute, detector **D24** can determine whether the error rate for the node or web page is greater than the predetermined error rate limit. If the error rate for the node or web page is  
20 greater than the predetermined error rate limit, a security event can be generated or detector **D24** can trigger (step **ST9**). Otherwise, the process can stop (step **ST5**).

Referring again to step **ST7** of Figure 24, if the trigger type is not absolute, detector **D24** can determine whether the interval count is greater than  
25 the warm-up (step **ST10**). Page (or node) statistics can be determined on a time-interval basis. Each interval can contribute one observation to the average, the interval counters can be reset, and the next interval can begin. In this case, the warm-up count can be the number of intervals required to compute a meaningful average page error rate. If the interval count is not  
30 greater than warm-up, the process can stop (step **ST5**). Otherwise, if the interval count is greater than warm-up, detector **D24** can determine the

predetermined limit as a percentage of the average error rate (step **ST11**). Next, the process proceeds to step **ST8**.

5 Referring again to step **ST8** of Figure 24, detector **D24** can determine whether the error rate is greater than the limit as a percentage of the average error rate, as determined in step **ST11**. If the error rate is greater, then a security event can be generated and detector **D24** can trigger (step **ST9**). Otherwise, the process can stop (step **ST5**).

#### Session Activity Detectors

10 Security system **102** can detect suspicious network traffic or activity regarding a user session. For example, detector **D25** can detect and trigger when a user session changes to a different IP address. A change to a different IP address for a user session can indicate that another user has hijacked the session. In some instances, it can be normal for a user's communication to  
15 originate from different IP addresses. The network mask can be utilized to constrain how the user's IP address changes during a single session. IP addresses can have four subparts (called "quads") written in a "dotted string format" e.g. 192.168.55.103. The network mask can "zero out" part of the IP address for the purpose of comparing it or determining a source network. For  
20 example, IP address= 192.168.55.103; Mask = 255.255.0.0 → network = 192.168.0.0. A user access list can also be utilized to disable detector **D23** for specific source networks. The UAL allows the operator to (1) disable specific detectors for a set of users based on the client IP address and/or the user-id; and (2) to modify the threat score of all detectors for these users. Detector **D25**  
25 can trigger sometime between when a user session is created and when the user logs out to end a login session, as detected by detector **D25**.

Referring to Figure 25, a flow chart, generally designated **2500**, is provided which illustrates an exemplary process for detecting and triggering when a user session changes to a different IP address. The exemplary  
30 process of flow chart **2500** can be implemented by detector **D25**. The process can begin at step **ST1**. At step **ST2**, a net mask can be configured. The

network mask is used to “zero out” parts of the IP address for the purpose of determining if it has changed. For example, if the IP address of a session changes from 192.168.55.1 to 192.168.55.2 and the mask being used is 255.255.255.0, detector **D25** is not triggered.

5 Referring to step **ST3** of Figure 25, detector **D25** can determine whether the HTTP request is part of a user session. If the HTTP request is not part of a user session, the process stops at step **ST4**. If the HTTP request is part of a user session, the process can proceed to step **ST5**.

10 Referring to step **ST5** of Figure 25, detector **D25** can determine whether detector **D25** has already triggered for this user session (step **ST5**). If detector **D25** has already triggered, the process can stop (step **ST4**). Otherwise, the process proceeds to step **ST6**.

15 Referring to step **ST6** of Figure 25, detector **D25** can retrieve the client IP address associated with this user session from user sessions table **UST** (Figure 2). Next, detector **D25** can retrieve the client IP address of the current HTTP request (step **ST7**). At step **ST8**, detector **D25** can have two IP addresses: one IP address from the current request; and one IP address learned at the start of the session. Detector **D25** can take each one and “applies the mask to it”. This means it performs a bitwise-and operation using  
20 the mask and each IP address. These yields the “masked addresses” used in the next step.

Referring to step **ST9** of Figure 25, detector **D25** can determine whether the masked IP addresses are different. If the masked IP addresses are not different, the process stops at step **ST4**. If the masked IP addresses are  
25 different, a security event can be generated or detector **D25** triggers (step **ST10**) and the process stops **ST4**.

Detector **D26** can detect and trigger when a session ID is requested that has not been issued by a web server (such as server **S** or web server **WS** shown in Figures 1A and 1B, respectively). The request of a session ID not  
30 issued by the web server can indicate a brute force attack on the web application. Detector **D26** can be enabled for web servers that utilize HTTP

session cookies for session management and that are not permissive. A permissive web server accepts session IDs that it has not generated.

Referring to Figure 26, a flow chart, generally designated **2600**, is provided which illustrates an exemplary process for detecting and triggering when a session ID is requested that has not been issued by a web server. The exemplary process of flow chart **2600** can be implemented by detector **D26**. The process can begin at step **ST1**. At step **ST2**, detector **D26** (Figure 2) can observer active user sessions during a warm-up period. Detector **D26** can use a warm-up period to observe all sessions that were in progress when security system **102** began. Detector **D26** is not triggered during this time.

Referring to step **ST3** of Figure 26, detector **D26** can determine whether the warm-up period is finished. If the warm-up period is not finished, the process can stop (step **ST4**). If the warm-up period is finished, the process can proceed to step **ST5**.

Referring to step **ST5** of Figure 26, detector **D26** can determine whether the HTTP request includes a session ID. If the HTTP request does not include a session ID, the process can stop (step **ST4**). If the HTTP request includes a session ID, the process can extract the session ID (step **ST6**) and proceed to step **ST7**.

Referring to step **ST7** of Figure 26, detector **D26** can determine whether the requested session ID is found in user sessions table **UST** (Figure 2). If the requested session ID is found in user sessions table **UST**, the process can stop (step **ST4**). If the requested session ID is not found in user sessions table **UST**, a security event can be generated or detector **D26** can trigger (step **ST8**) and the process can stop (step **ST4**).

#### HTTP Protocol Detectors

Security system **102** can detect suspicious network traffic or activity regarding protocols utilized for communication. For example, detector **D27** can detect and trigger when the total number of protocol errors within a user session exceeds a predetermined number. According to one embodiment,

detector **D27** triggers when the total number of HTTP response codes or errors within a user session exceeds a predetermined number or specified limit. Detector **D27** can associate HTTP response codes with particular user sessions by utilizing user session detector **USD**. According to one  
5 embodiment, detector **D27** is not triggered if a user session is not created.

Referring to Figure 27, a flow chart, generally designated **2700**, is provided which illustrates an exemplary process for detecting and triggering when the total number of HTTP response codes or errors within a user session exceeds a predetermined number or specified limit. The exemplary process of  
10 flow chart **2700** can be implemented by detector **D27**. The process can begin at step **ST1**. Next, detector **D27** can determine whether a received HTTP request is part of a user session in user sessions table **UST** (Figure 2) (step **ST2**). If the HTTP request is not part of a user session in user sessions table **UST**, the process can stop (step **ST3**). Otherwise, the process can proceed to  
15 step **ST4**.

Referring to step **ST4** of Figure 27, detector **D27** can extract an HTTP status code from the HTTP response code for the user session. Next, detector **D27** can determine whether the response code is counted against the total errors (step **ST5**). Detector **D27** can be configured to count a predetermined  
20 type of response codes. Exemplary response codes can include:

- 305 Use Proxy
- 400 Bad Request
- 401 Unauthorized
- 403 Forbidden
- 25 404 Not Found
- 405 Method Not Allowed
- 406 Not Acceptable
- 407 Proxy Authentication Required
- 408 Request Timeout
- 30 409 Request Conflict
- 410 Gone



- 411 Length Required
- 412 Precondition Failed
- 413 Request Entity Too Large
- 414 Request-URI Too Long
- 5 415 Unsupported Media Type
- 416 Request Range Not Satisfiable
- 417 Expectation Failed
- 500 Internal Server Error
- 501 Not Implemented
- 10 502 Bad Gateway
- 503 Service Unavailable
- 504 Gateway Timeout
- 505 HTTP Version Not Supported

15 If the response code is counted against the total errors, the process can proceed to step **ST6**. Otherwise, if the response code is not counted against the total errors, the process can proceed to step **ST7**.

20 Referring to step **ST6** of Figure 27, detector **D27** can determine whether the response content contains errors. If the response content does not contain errors, the process can stop (step **ST3**). If the response content contains errors, the process can proceed to step **ST7**.

25 Referring to step **ST7** of Figure 27, the session error count can be incremented. Next, at step **ST8**, detector **D27** can determine whether the error count is greater than a predetermined error count limit. If the error count is not greater than the predetermined error count limit, the process can stop (step **ST3**). If the error count is greater than the predetermined error count limit, a security event can be generated or detector **D27** can trigger (step **ST9**). After step **ST9**, the session error count can be reset to 0 (step **ST10**) and the process can stop (step **ST3**).

30 Detector **D28** can detect and trigger when the number of individual response codes within one user session exceeds a predetermined number. According to one embodiment, detector **D28** can detect and trigger when the

number of individual HTTP response codes or errors within one user session exceeds a predetermined number or specified limit. Detector **D28** can associate HTTP response codes with particular user sessions by utilizing user session detector **USD**. According to one embodiment, detector **D28** is not triggered if no user session is created.

Referring to Figure 28, a flow chart, generally designated **2800**, is provided which illustrates an exemplary process for detecting and triggering when the number of individual response codes within one user session exceeds a predetermined number. The process of Figure 28 can be implemented by detector **D28**. The process can begin at step **ST1**. Referring to step **ST2**, detector **D28** can be configured. Configuring detector **D28** can include setting predetermined error limits for each HTTP status code. Next, detector **D28** can extract an HTTP status code from the response to a HTTP request (step **ST3**).

Referring to step **ST4** of Figure 28, detector **D28** can determine whether to track the HTTP status code in the response. If the status code is not tracked, the process can stop (step **ST5**). If the status code is tracked, detector **D28** can determine whether the limit is 1 for this status code (step **ST6**). If the limit is 1 for this status code, a security event can be generated or detector **D28** can trigger (step **ST7**). Otherwise, the process can proceed to step **ST8**.

Referring to step **ST8** of Figure 28, detector **D28** can determine whether the request is part of a user session in user session table **UST** (Figure 2). If the request is not part of a user session in user session table **UST**, the process can stop (step **ST5**). If the request is part of a user session in user session table **UST**, the status code counter for this status code can be incremented in a status code counts table for each user session (step **ST9**).

Referring to step **ST10** of Figure 28, detector **D28** can determine whether the count in the status code counter for this status code is greater than the predetermined limit for this status code. If the count is not greater than the predetermined limit, the process can stop (step **ST5**). If the count is greater

than the predetermined limit, a security event can be generated or detector **D28** can trigger (step **ST11**). Next, the count in the status code counter for this status code can be set to 0 (step **ST12**). Next, the process can stop (step **ST5**).

5           Detector **D29** can detect when selected HTTP response codes for each web page or particular server data in the monitored web application exceeds a predetermined number during a predetermined time period. According to one embodiment, detector **D29** can count selected HTTP response codes against each web page in the monitored web application and trigger when the number  
10           of each one exceeds the predetermined number during the predetermined time period.

Referring to Figure 29, a flow chart, generally designated **2900**, is provided which illustrates an exemplary process for detecting and triggering when selected HTTP response codes for each web page in the monitored web  
15           application exceeds a predetermined number during a predetermined time period. The process of Figure 29 can be implemented by detector **D29**. The process can begin at step **ST1**. Referring to step **ST2**, detector **D29** can be configured. Configuring detector **D29** can include setting predetermined error limits for each HTTP status code. Next, a node or web page can be extracted  
20           from an HTTP request (step **ST3**). Next, detector **D29** can extract an HTTP status code from the response to the HTTP request (step **ST4**).

Referring to step **ST5** of Figure 29, detector **D29** can determine whether to track the HTTP status code in the response. If the status code is not tracked, the process can stop (step **ST6**). If the status code is tracked,  
25           detector **D29** can determine whether the limit is 1 for this status code (step **ST7**). If the limit is 1 for this status code, a security event can be generated or detector **D29** can trigger (step **ST8**). Otherwise, the process can proceed to step **ST9**.

Referring to step **ST9** of Figure 29, the status code counter for this  
30           status code can be incremented in a status code counts table for each node or web page. Next, detector **D29** can determine whether detector **D29** has

already triggered for this interval (step **ST10**). If detector **D29** has already triggered, the process can stop (step **ST6**). Otherwise, if detector **D29** has not triggered for this interval, the process can proceed to step **ST11**.

5 Referring to step **ST11** of Figure 29, detector **D29** can determine whether the count in the status code counter for this status code is greater than the predetermined limit for this status code. If the count is not greater than the predetermined limit, the process can stop (step **ST6**). If the count is greater than the predetermined limit, a security event can be generated or detector **D29** can trigger (step **ST12**). Next, the count in the status code counter for this  
10 status code can be set to 0 (step **ST13**). Next, the process can stop (step **ST6**).

Detector **D30** can count selected HTTP response codes against each web page in the monitored web application and trigger when the total number exceeds a predetermined number. Referring to Figure 30, a flow chart,  
15 generally designated **3000**, is provided which illustrates an exemplary process for detecting and triggering when the total number of selected HTTP response codes against each web page exceeds a predetermined number. The process can begin at step **ST1**. At step **ST2**, detector **D30** can extract the node or web page from the HTTP request. Next, detector **D30** can extract the HTTP status  
20 code from the response to the HTTP request for determining whether an error occurred (step **ST3**).

Referring to step **ST4** of Figure 30, detector **D30** can determine whether to count this response code against the total number of web page errors. If the response code is not counted, detector **D30** can determine whether the  
25 response content contains errors (step **ST5**). Otherwise the process can proceed to step **ST6**. Referring to step **ST5**, if the response content does not contain errors, the process can stop (step **ST7**). Otherwise, if the response content contains errors, the process proceeds to step **ST6**.

Referring to step **ST6** of Figure 30, the node or web page error count  
30 can be incremented. Next, at step **ST8**, detector **D30** can determine whether detector **D30** has already triggered during a predetermined interval of time. If

detector **D30** has already triggered, the process can stop (step **ST7**). Otherwise, if detector **D30** has not triggered, the process can proceed to step **ST9**).

5           At step **ST9**, detector **D30** can determine whether the error count for the node or web page is greater than a predetermined limit. If the error count is not greater than the predetermined limit, the process can stop (step **ST7**). Otherwise, if the error count is greater than the predetermined limit, a security event can be generated or detector **D30** can trigger (step **ST10**).

          Detector **D31** can trigger when malformed HTTP requests are detected.

10           Detector **D32** can detect and trigger when an HTTP message cannot be parsed correctly. Detector **D32** can associate the HTTP message with a particular user by utilizing user session detector **USD**. According to one embodiment, system **102** can ignore users transmitting such data.

15           Detector **D33** can trigger buffer overflows within HTTP protocol elements are detected.

          Detector **D34** can trigger when suspect HTTP methods used in requests are detected. Certain HTTP methods are unusual when used in a production environment. The use of such unusual methods can indicated that penetration testing is being conducted. Referring to Figure 31, a flow chart, generally designated **3100**, is provided which illustrates an exemplary process for detecting and triggering when suspect HTTP methods are used in requests. The process can begin at step **ST1**. At step **ST2**, detector **D34** can be configured with a list of HTTP methods to trigger on. Next, at step **ST3**, an HTTP method can be extracted from an HTTP request. Next, the process can proceed to step **ST4**.

25           Referring to step **ST4** of Figure 31, the process can begin a loop for analyzing each HTTP method in the method list (step **ST4**). The process can stop at step **ST5** after each HTTP method has been analyzed. For each method in the list, detector **D34** can determine whether the method is a suspicious method (step **ST6**). If the method is a suspicious method, a security

30

event can be generated or detector **D34** can trigger (step **ST7**) and the process can stop (step **ST5**). Otherwise, the process can proceed to step **ST4**.

#### Secure Socket Layer (SSL) Detectors

5           Security system **102** can detect suspicious network traffic or activity regarding SSL. For example, detector **D35** can trigger when weak encryption browsers are detected for indicating the receipt of communications utilizing weak encryptions. A web application and web browser can communicate at  
10           different SSL encryption strengths. The web application can be certified for high encryption (such as 128 bit strength, as commonly required by banks) while being configured to accept communications utilizing weaker encryptions (such as 40 bit strength).

            Detector **D36** can trigger when traffic is received from a web-enabled device utilizing a low version of SSL. For example, the current version of SSL  
15           may be 3.0, and detector **D36** can trigger when SSL version 2.0 is being utilized. SSL version 2.0 and 3.0 were developed by Netscape Communications Corporation of Mountain View, California.

            Detector **D37** can trigger when an invalid SSL protocol is detected. For example, detector **D37** can trigger when non-SSL data (such as plain text data)  
20           is transmitted to an SSL port of the web application. Detector **D37** can indicate that system **102** has been misconfigured to identify non-SSL traffic as SSL.

#### URL Encoding Detectors

            Detector **D38** can trigger when URL encoded 8-bit characters are not  
25           Universal Transformation Format (UTF)-8 encoded. Non US-ASCII characters should not be UTF-8 encoded.

            Detector **D39** can trigger when invalid UTF-8 octet sequences are detected.

            Detector **D40** can trigger when URL encoded Universal Character Set  
30           (UCS)-4 characters are detected. URL encoded UCS-4 characters should not be used in URLs.

Detector **D41** can trigger when an invalid use of “%” characters is detected in a URL encoded string.

Detector **D42** can trigger when a sequence of “%%” characters is detected in a URL encoded string. The use of a sequence of “%%” characters is invalid.

Detector **D43** can trigger when “%uXXXX” unicode characters is detected in a URL encoded string. The use of “%uXXXX” unicode characters in a URL encoded string is unsupported on many platforms and can be used to circumvent Intrusion Prevention Systems (IPS) and Intrusion Detection Systems (IDS).

Detector **D44** can trigger when overlong UTF-8 or “%uXXX” representations of characters are detected in a URL encoded string. The use of overlong UTF-8 or “%uXXX” representations of characters in a URL encoded string can be used to attack certain web servers.

Detector **D45** can trigger on the detection of invalid escape sequences in URL encoded strings that are not recoverable.

#### Usage Policy Detectors

Detector **D46** can trigger and detect when the overall application request rate for the monitored web application is too high. According to one embodiment, detector **D46** samples the HTTP transactions-per-second (TPS) throughput of the monitored web application. When the transaction-per-second is higher than a predetermined value or rate, it can indicate that an attack to the monitored web application is proceeding or that additional resources are required to maintain service. For example, a web server (such as server **S** or web server **WS** shown in Figures 1A and 1B, respectively) can be expected to handle 1000 transactions-per-second, and detector **D46** can be configured to trigger when 2000 transactions-per-second are detected. Detector **D46** can be set to not trigger more than once during an interval (e.g., no more than once every five minutes).

Referring to Figure 32, a flow chart, generally designated **3200**, is provided which illustrates an exemplary process for flagging users of the monitored web application. The exemplary process of flow chart **3200** can be implemented by detector **D53**. The process can begin at step **ST1**. At step **ST2**, the HTTP transactions-per-second (TPS) can be determined. According to one embodiment, security system **102** can determine TPS once every thirty seconds.

Referring to step **ST3** of Figure 32, detector **D53** can determine whether detector **D53** has triggered in the last five minutes. If detector **D53** has triggered in the last five minutes, the process can stop (step **ST4**). Thus, detector **D53** can trigger a maximum of once every five minutes. Otherwise, the process can proceed to step **ST5**.

Referring to step **ST5** of Figure 32, detector **D53** can determine whether TPS is greater than a predetermined limit or number. The predetermined limit can be set by an operator. If TPS is greater than the predetermined limit, a security event can be generated and/or detector **D53** can be triggered. Next, the process can stop (step **ST4**).

Detector **D47** can trigger when a user's web application request rate deviates from an expected request rate for the user. Detector **D47** can observe a user's web application request rate over a period of time for determining an expected request rate for the user. Detector **D47** can also implement the process of Figure 32 and, with respect to step **ST5**, determine whether the current TPS for the user deviates a predetermined amount or predetermined standard deviation from the expected request rate for the user. If the current TPS deviates the predetermined amount or predetermined standard deviation from the expected request rate for the user, detector **D47** can trigger.

#### Content Manipulation Detectors

Typically, a web application transmits a cookie to a web browser associated with a particular session. The web browser is expected to return the cookie in an unmodified condition. If the returned cookie has been modified, it



can indicate that the web browser operator is trying to penetrate the web application.

5           Detector **D47** can trigger when a cookie returned from the web application has been modified. When a server (such as server **S** and web server **WS** shown in Figures 1A and 1B, respectively) issues session cookies to a client (such as clients **C1**, **C2**, and **C3** shown in Figure 1A and web-enabled devices **WED1**, **WED2**, and **WED3** shown in Figure 1B), the cookies should normally be returned with the same value as that set by the web server. If the value is different, it can indicate that the user of the web-enabled device has altered the value. Detector **D47** can be disabled for the web applications that are designed to use client side scripting that can alter the value.

10           Figures 33A and 33B illustrate flow charts, generally designated **3300** and **3302**, respectively, of exemplary processes operating in combination for detecting and triggering when a session cookie returned from the web application has been modified. The processes of Figures 33A and 33B can be implemented by detector **D48** (Figure 2). Referring specifically to Figure 33A, the process can begin at step **ST1**. Next, at step **ST2**, detector **D48** can determine whether an HTTP request is part of a user session in user sessions table **UST** (Figure 2). If the HTTP request is not part of a user session in user session table **UST**, process can stop (step **ST3**). Otherwise, session cookies can be retrieved from the HTTP request (step **ST4**). Next, the process can proceed to step **ST5**.

15           Referring to step **ST5** of Figure 33A, the process can begin a loop for examining each session cookie in the HTTP request. After each session cookie is examined, the process can stop (step **ST3**). The first step in the loop can include looking up a cookie value set by a web server (such as web server **WS**) (step **ST6**). The cookie value set by the web server is retrieved in the process described in Figure 33B.

20           Referring to step **ST7** of Figure 33A, detector **D48** can determine whether a cookie value exists. Detector **D48** can remember all the session cookies set by the server per session. For each incoming request, detector

**D48** can check whether the cookie values supplied in the request are the same values that the server set. Thus, for each cookie, in the request, it looks up this cookie by name in a per-session table to retrieve the value set by the server. If a cookie value does not exist, the process can proceed to step **ST5**.  
5 Otherwise, detector **D48** can determine whether the session cookie value of the HTTP request is the same as the stored cookie value (step **ST8**). If the session cookie value of the HTTP request is not the same as the stored cookie value, the process can proceed to step **ST5**. Otherwise, a security event can be generated or detector **D48** can trigger (step **ST9**).

10 Referring now to Figure 33B, the process can start at step **ST1**. Next, at step **ST2**, detector **D48** can determine whether the HTTP request is part of a user session in user sessions table **UST** (Figure 2). If the HTTP request is not part of a user session in user session table **UST**, process can stop (step **ST3**). Otherwise, session cookies can be retrieved from the response to the HTTP  
15 request (step **ST4**). Next, the detector **D48** can store the retrieved session cookie values for access by the process of Figure 33A (step **ST5**).

Detector **D49** can detect application forms issued during a session that have been manipulated. Forms issued by a server (such as server **S** shown in Figure 1A and web server **WS** shown in Figure 1B) to a client (such as clients  
20 **C1**, **C2**, and **C3** shown in Figure 1A and web-enabled devices **WED1**, **WED2**, and **WED3** shown in Figure 1B) can have hidden fields that should normally be returned with the same value as set by the web server. Additionally, some fields have constraints such as "maxLength" that should be respected. If the value of these fields is different, it can indicate that the user of the web-enabled  
25 device has altered the value. Detector **D49** can be disabled for the web applications that are designed to use client side scripting that can alter the value.

Figures 34A and 34B illustrate flow charts, generally designated **3400** and **3402**, respectively, of exemplary processes operating in combination for  
30 detecting and triggering application forms issued during a session that have been manipulated. Referring specifically to Figure 34A, flow chart **3400** shows

an exemplary process for storing an application form issued by a web server to a client for comparison to the associated returned application form from the client. The process can begin at step **ST1**.

5 Referring to step **ST2** of Figure 34A, detector **D49** can determine whether the content type of the server transmission is HTML. If the content type is not HTML, the process can stop (step **ST3**). Otherwise, the HTML document can be retrieved (step **ST4**). Next, the process can proceed to step **ST5**.

10 Referring to step **ST5** of Figure 34A, detector **D49** can determine whether the HTML contains forms. If the HTML does not contain forms, the process can stop (step **ST3**). Otherwise, detector **D49** can store the forms based on the resolved action URI (step **ST6**). Forms in HTML have an associated ACTION that can be set by the application server. When the client submits the form, the application server can be instructed to submit the form to the URL specified in the ACTION. URLs in the requests can be absolute URLs meaning simple that they are fully qualified path names. However, the URLs the server sets in the Form ACTION are often relative URLs, or simply partial paths that are interpreted relative to the path of the web page the client is currently viewing. When observing the ACTION of outbound forms, this  
15 detector must resolve them into absolute URLs so that it can recognize them when they are submitted by the client. Next, the process can stop (step **ST3**).  
20

Referring now to Figure 34B, flow chart **3402** illustrates an exemplary process for detecting when the form stored in the process of Figure 34A does not match the same returned form from the client. The process can begin at  
25 step **ST1**. Next, detector **D49** can determine the action from the request URI of the HTTP request (step **ST2**) and proceed to step **ST3**.

Referring to step **ST3** of Figure 34B, detector **D49** can determine whether an application form is stored for the action of the HTTP request. If a form is not stored for action, the process can stop **ST4**. If a form is stored for  
30 the action, a form for the action can be retrieved (step **ST5**). Next, the process can proceed to step **ST6**.

Referring to step **ST6** of Figure 34B, detector **D49** can determine whether the form values matches for the form returned in the HTTP request and the associated form stored in the process of Figure 34A. If the form values do not match, a security event can be generated or detector **D49** can trigger (step **ST7**). Otherwise, if the form values match, the process can proceed to step **ST8**.

Referring to step **ST8** of Figure 34B, detector **D49** can determine whether the form structure matches for the form returned in the HTTP request and the associated form stored in the process of Figure 34A. If the form structure matches, a security event can be generated or detector **D49** can trigger (step **ST7**). Otherwise, if the form values match, the process can proceed to step **ST9**.

Referring to step **ST9** of Figure 34B, detector **D49** can determine whether the form contains suspicious values. If the form contains suspicious values, a security event can be generated or detector **D49** can trigger (step **ST7**). Otherwise, if the form values match, the process can stop (step **ST4**).

#### Web Crawler Detector

A webcrawler is an application that automatically scans web applications for fetching web pages. Spiders can be used to feed pages to search engines. Because most Web pages contain links to other web pages, a spider can start almost anywhere. As soon as it sees a link to another page, it goes off and fetches it.

Detector **D50** can detect when a web crawler begins scanning a web application of a web server (such as server **S** shown in Figure 1A and web server **WS** shown in Figure 1B). Referring to Figure 35, a flow chart, generally designated **3500**, is provided which illustrates an exemplary process for detecting and triggering when a web crawler begins scanning a web application. The process can begin at step **ST1**. At step **ST2**, detector **D50** can determine whether a received HTTP request is for "robots.txt". Many web crawlers begin any session by issuing a request to the web server for the file

“/robots.txt.” By convention, this file if present will instruct the web crawler as to what parts of the website it should crawl. Whenever a request is seen for “/robots.txt”, detector D50 can detect that a web crawler is beginning a session against the server. If the request is not for “robots.txt”, the process can stop (step **ST3**). If the request is for “robots.txt”, a security event can be generated and detector **D50** can trigger (step **ST4**). Next, the process can stop (step **ST3**).

#### Access Policy Detection

10 Security system **102** can alert an operator when a user that has been disallowed is accessing a web application. For example, detector **D51** can detect and trigger when users are accessing a web application from an IP address that has been disallowed. Referring to Figure 36, a flow chart, generally designated **3600**, is provided which illustrates an exemplary process  
15 for detecting and triggering when users are accessing a web application from an IP address that has been disallowed. The process can begin at step **ST1**. At step **ST2**, detector **D51** can be configured. Configuring detector **D51** can include obtaining retrieving a list of disallowed network addresses and/or masks. The network addresses can include IP addresses.

20 Referring to step **ST3** of Figure 36, detector **D51** can extract the client IP address from the connection or network traffic. Next, detector **D51** can execute a loop beginning at step **ST4** for each disallowed network specified in step **ST2**. Detector **D51** can then determine the bitwise and of client IP address and mask for a specified disallowed network (step **ST5**). The network mask can be  
25 used to “zero out” parts of the IP address for the purpose of determining if it is allowed. For example, the operator may wish to disallow access from the network 192.168.0.0 with a network mask of 255.255.0.0. These means any address that begin with 192.168 should be disallowed.

30 Referring to step **ST6** of Figure 36, detector **D51** can determine whether the masked IP address of the client is the same as the disallowed network. If the masked IP address of the client is the same as the disallowed network, a

security event can be generated or detector **D51** can trigger (step **ST7**). Next, the process can stop (step **ST8**). If the masked IP address of the client is not the same as the disallowed network, the process can proceed to the start of the loop at step **ST4**. The loop can continue through steps **ST5** and **ST6** for each  
5 disallowed network specified in step **ST2** and then stop (step **ST8**) unless a security event is triggered at step **ST7**.

Security system **102** can flag certain web application user when they are deemed to require special attention. Detector **D52** can detect when a flagged user logs in to a web application. Users requiring special attention can be  
10 manually or automatically flagged. Detector **D52** can provide an alert when such a user logs into the monitored web application. Referring to Figure 37, a flow chart, generally designated **3700**, is provided which illustrates an exemplary process for detecting and triggering when a flagged user logs in to a web application. The process can begin at step **ST1**. At step **ST2**, the  
15 LoginListener callback can be called for determining whether a user is attempting a login. Next, detector **D52** can determine whether the login attempt was successful (step **ST3**). If the login attempt was not successful, the process can stop (step **ST4**). Otherwise, the process can proceed to step **ST5**.

Referring to step **ST5** of Figure 37, detector **D52** can determine whether  
20 the logged in user has been flagged. If the logged in user has not been flagged, the process can stop (step **ST4**). Otherwise, if the logged in user has been flagged, a security event can be generated or detector **D52** can trigger (step **ST6**). Next, the process can stop (step **ST4**).

When a user has achieved a threat score greater than a predetermined  
25 threshold, the user can be flagged for alerting an operator of the web server. Detector **D53** can detect when the user's threat score has exceeded the predetermined threshold and mark the user as flagged. Flagging can be used to enable operators to quickly identify users that should be monitored closely. Users can also be flagged manually by the operator of security system **102**.

30 Referring to Figure 38, a flow chart, generally designated **3800**, is provided which illustrates an exemplary process for flagging users of the

monitored web application. The exemplary process of flow chart **3800** can be implemented by detector **D53**. The process can begin at step **ST1**. ThreatListener is a function that blades can implement. Security system **102** can invoke this each time any blade generates a security event. This allows blades to analyze security events themselves. In this case, the triggers for this detector **D53** are all based on threat scores. ThreatListener can examine the threat scores. At step **ST2**, detector **D53** can be configured to trigger when a threat score is greater than a predetermined limit or amount. Next, at step **ST3**, detector **D53** can determine whether the user is flagged. If the user has been flagged, the process stops at step **ST4**. Otherwise, the process proceeds to step **ST5**.

At step **ST5**, detector **D53** can determine whether an event or threat score for the user is greater than the predetermined limit. If the event score is greater than the predetermined limit, the user can be flagged (step **ST6**) and the process stops at step **ST4**. Otherwise, the process proceeds to step **ST7**.

At step **ST7**, detector **D53** can determine whether a total session score for the user is greater than the predetermined limit. If the total session score is greater than the predetermined limit, the user can be flagged (step **ST6**) and the process stops at step **ST4**. Otherwise, the process proceeds to step **ST8**.

At step **ST8**, detector **D53** can determine whether a total score for all sessions for the user is greater than the predetermined limit. If the total session score for all sessions is greater than the predetermined limit, the user can be flagged (step **ST6**) and the process stops at step **ST4**. Otherwise, the process stops at step **ST4**.

#### V. User Interface

Security system **102** (Figures 1A, 1B, and 2) can include display **DISP** (Figure 2) for displaying server activity information to alert operator to suspicious activity regarding a monitored web application. Display **DISP** can also provide an interface for analyzing server activity. Further, display **DISP**

can provide an interface for configuring security system **102** to monitor and analyze server activity.

### Monitoring

5           Figures 39-61 illustrate exemplary screen displays of display **DISP** for displaying activity information to alert an operator to suspicious activity regarding a monitored server application. Referring specifically to Figure 39, a screen display **3900** of summary tables of a monitored server application is illustrated. Screen display **3900** can display an active sessions table **3902**, an  
10           active users table **3904**, a pages table **3906**, and a recent threats table **3908**. Screen display **3900** can also include an analyze icon **AI** and a configure icon **CI** for switching to other screen displays described herein for analyzing web activity and configuring security system **102** (Figures 1A, 1B, and 2).

          Active sessions table **3902** can include a summary of the data contained  
15           in user sessions table **UST**. In this example, active sessions table **3902** lists the nine entries in user sessions table **UST** (Figure 2) with the highest threat score. Table **3902** can include the following columns: user **3910**, session **3912**, client **3914**, and score **3916**. User column **3910** can list the user name for the session entries having an authenticated user. Session column **3912**  
20           can list the session ID associated with the entry. Sessions can be defined by server applications. The particular session ID associated with a session can differ depending on the server application. Client column **3914** can list the URL of the web-enabled device associated with the user session. Score column **3916** can list the current threat score associated with the user session. The  
25           threat score can increase each time a detector associated with the user session is triggered.

          Active users table **3904** can include a summary of current login session information. In this example, active users table **3904** lists the nine current login sessions having the highest threat score. Table **3904** can include the following  
30           columns: user **3918**, client **3920**, score **3922**, and flagged **3924**. User column **3918** can list the user name of the associated login sessions. Client column



**3920** can list the URL of the web-enabled device associated with the session. Score column **3922** can list the current threat score associated with the session. The threat score can increase each time a detector associated with the session is triggered. Flagged column **3924** can indicate whether the login session has been flagged. For example, when detector **D52** or detector **D53** trigger, the user session can be flagged.

Pages table **3906** can include a summary of the web page information. In this example, pages table **3906** lists the nine web pages of the monitored web application having the highest threat score. Table **3906** can include the following columns: URI **3926**, score **3928**, events **3930**, and requests **3932**. URI column **3926** can list the URI of the web page associated with the entry. Score column **3928** can list the current threat score associated with the web page. Events column **3930** can list the number of security events for which the given web page was the intended target (i.e., the request URL was for that page). Requests column **3932** can list the number of requests recorded for the web page.

Recent threats table **3908** can include a summary of recent potential threats to the monitored web application. In this example, recent threats table **3908** lists the nine more recent threats to the monitored web application. Table **3908** can include the following columns: time **3934**, user **3936**, client **3938**, and score **3940**. Time column **3934** can be the time that a detector (such as detector **D35** shown in Figure 2) has been triggered. User column **3936** can list the user name of a login session having an activity that triggers the associated detector.

If no user name is listed in user column **3936**, then no authenticated user can be associated with the triggering. Client column **3938** can list the URL of the web-enabled device associated with the triggering. Score column **3940** can list the threat score associated with the triggering.

Referring to Figure 39, screen display **3900** can display the HTTP transactions-per-second at reference **TPS**. Screen display **3900** can also display the number of users currently being monitored and the number of user names known at reference **USER**. Additionally, screen display **3900** can

display the average threat score per application at reference **APS**. Screen display **3900** can switch to more detailed information regarding user sessions, login sessions, web pages of the monitored application, and recent threats by selecting icons **SES**, **USE**, **PAG**, and **THR**, respectively.

5 Referring to Figures 40A-40D, screen displays of information for an active session page are illustrated. Referring specifically to Figure 40A, a screen display **4000** of an active sessions page is illustrated. Display **3900** (Figure 39) can switch to screen display **4000** by selecting sessions icon **SES** (Figure 50). Screen display **4000** can switch to screen display **3900** by  
10 selecting summary icon **SUM**. Screen display **4000** can display more detailed information regarding user sessions than displayed in screen display **3900** (Figure 39). Screen display **4000** can display a table **4002** including the fifteen entries in user sessions table **UST** (Figure 2) with the highest threat score. Table **4002** can include the following columns: user **4004**, session ID **4006**,  
15 threat score **4008**, start time **4010**, requests **4012**, client **4014**, and server **4016**. User column **4004** can list the session entries having an authenticated user. A user name is shown for the entries having an authenticated user. Session ID column **4006** can list the session ID associated with the entry. Sessions can be defined by web applications. The particular session ID  
20 associated with a session can differ depending on the web application. Threat score column **4008** can list the current threat score associated with the session. Start time column **4010** can list the time that the associated session was initiated. Requests column **4012** can list the number of requests made during the user session. Client column **4014** can list the URL of the web-enabled  
25 device associated with the user session. Server column **4016** can list the web server associated with the user session.

Referring to Figure 40A, screen display **4000** can include a status summary tab **4018**, a client summary tab **4020**, and a date summary tab **4022** for selection by an operator to provide other screen displays to display the  
30 information shown in screen display **4000**. The table can show both active sessions and completed sessions (historical sessions). Tab **4018** can show the

sessions divided into these two categories (i.e. those that are active versus those that are completed). An operator can select client summary tab **4020** to list the information of screen display **4000** according to client IP address. An operator can select date summary tab **4022** to list the information of screen display **4000** according to date. According to one embodiment, the information can be summarized on a monthly, weekly, or daily basis.

Referring to Figure 40B, a screen display **4032** for showing active sessions and completed sessions is illustrated. Screen display **4032** can be displayed by selecting tab **4018** (Figure 40A).

Referring to Figure 40C, a screen display **4034** for showing sessions grouped according to client IP address is illustrated. Screen display **4034** can be displayed by selecting tab **4020** (Figure 40A).

Referring to Figure 40D, a screen display **4036** for showing sessions grouped according to time of occurrence is illustrated. The displayed time of occurrence can include day, week, and month. Screen display **4036** can be displayed by selecting tab **4022** (Figure 40A).

Referring again to Figure 40A, screen display **4000** can also include an export CSV icon **4024** and export XML icon **4026**. The data associated with user sessions table **UST** can be exported to a common separated value (CSV) document by selecting export CSV icon **4024**. The data associated with user sessions table **UST** can be exported to an XML document by selecting export XML icon **4026**. According to one embodiment, the data can be exported to a document in the EXCEL application available from Microsoft Corporation of Redmond, Washington.

The operator can click a refresh icon **4028** to refresh or update the data on screen display **4000**. If the rows displayed in the table are filtered, the operator can clear the filter and display all the data by clicking reset filter icon **4030**.

Referring to Figure 41, a screen display **4100** of an entry in user sessions table **UST** is illustrated. Screen display **4100** can include information regarding the user associated with the user session, the start time of the user

session, the client IP address, the current threat score for the user session, the number of threats or triggers for the session, the login method for the user session, the last access time for the web application associated with the user session, and the server IP address for the web server associated with the user session, and the request count for the user session.

Screen display **4100** can include a view transactions icon **4102** and a view threats icon **4104**. When view transactions icon **4102** is selected, the transactions associated with this session can be displayed. When view threats icon **4104** can be selected, the threats associated with this session can be displayed.

Referring to Figure 42, a screen display **4200** of an active users page is illustrated. Display **3900** (Figure 39) can switch to screen display **4200** by selecting users icon **USE** (Figure 39). Screen display **4200** can display more detailed information regarding active users than displayed in screen display **3900** (Figure 39). Screen display **4200** can display a table **4202** including the thirteen logged in users with the highest threat score. For this monitored web application, there are thirty-one unique user names. Table **4202** can include the following columns: user **4204**, current score **4206**, max score **4208**, total score **4210**, total requests **4212**, average requests **4214**, client **4216**, and flagged **4218**. User column **4204** can indicate the user name for the associated user. Current score column **4206** can indicate the score for the user for this session. Max score column **4208** can indicate the maximum score for this user for any session. Total threat score **4210** is the cumulative threat score for this user across all of their sessions (including completed sessions). Total requests column **4212** can indicate the total requests from the user for the monitored web application for all sessions. Average requests column **4214** can indicate the average requests made by the user per session. Client column **4216** can indicate the client ID for the user. The client ID in column **4216** can be selected for displaying another screen display including more detailed information regarding the client. Flagged column **4218** can indicate whether the user has been flagged.

Referring to Figure 42, screen display **4200** can include a flagged summary tab **4220**, a client summary tab **4222**, and a last login summary tab **4224** for selection by an operator to provide other screen displays to display the information shown in screen display **4200**. An operator can select flagged summary tab **4220** to list the information of screen display **4200** according to flagged users. An operator can select client summary tab **4222** to list the information of screen display **4200** according to client IP address. An operator can select client summary tab **4224** to list the information of screen display **4200** according to the time that the user was last logged onto the web application.

Screen display **4200** can also include an export CSV icon **4226** and export XML icon **4228**. The data associated with screen display **4200** can be exported to a common separated value (CSV) document by selecting export CSV icon **4226**. The data associated with screen display **4200** can be exported to an extensible markup language (XML) document by selecting export CSV icon **4228**.

Referring to Figure 43, a screen display **4300** of an entry for a user login name is illustrated. Screen display **4300** can include information regarding the user name associated with the login session; the current threat score for the login session; the average session threat score; the highest session threat score for all sessions; the total threat score for all sessions; the total HTTP requests for the monitored web application for all sessions; the average HTTP requests per session; the last reset; the last login time; the longest session duration; the average session duration; the total time for all sessions; the last client IP address for the user name; the last web server IP address; the number of active session for the user name; and the total sessions established for the user name. All cumulative statistics for the selected users can be reset. The reset button can perform the reset function and show the last time of reset. According to one embodiment, the reset can reset the following values shown on this screen: average session threat score, highest session threat score, total threat score, and total threat count.

Screen display **4300** can include a view transactions icon **4302** and a view threats icon **4304**. When view transactions icon **4302** is selected, the transactions associated with this login session can be displayed. When view threats icon **4304** can be selected, the threats associated with this login session can be displayed.

Referring to Figure 44, a screen display **4400** of recent potential threats to the web pages of the monitored web application is illustrated. Display **3900** (Figure 39) can switch to screen display **4400** by selecting pages icon **PAG** (Figure 39). Screen display **4400** can display more detailed information regarding the web pages of the monitored web application than displayed in screen display **3900** (Figure 39). Screen display **4400** can display a table **4402** including the ten web pages with the highest current threat score. Table **4402** can include the following columns: page **4404**, current score **4406**, average score **4408**, threat count **4410**, request count **4412**, average requests **4414**, and flagged **4416**. Page column **4404** can list the web page associated with the entry. Current score column **4406** can list the current threat score associated with the session. Average score **4408** can list the average threat score for the associated web page per request. Threat count **4410** can list the number of threats for the associated web page per request. Request count **4412** can list the total number of requests for the associated web page. Average requests column **4414** can list the average number of requests for the web page per session. Flagged column **4416** can list the web pages that have been flagged for attention.

Referring to Figure 44, screen display **4400** can include a flagged summary tab **4418**, a content-type tab **4420**, and a last accessed summary tab **4422**. An operator can select flagged tab **4418** to list pages combined into groups based on whether each page has been marked for observation by an operator. The operator can select content-type tab **4420** to list all pages combined into groups with each page in a group have the same content-type. The content-type is the type of document that a web page returns to clients (e.g., /index.html has a content-type of "text/html" and /images/home.gif has a

content-type of "image/gif"). The operator can select last accessed summary tab **4422** to list all pages combined into groups with each page in a group having the same date of last access. The information can be shown on a daily, weekly, or monthly basis.

5           Screen display **4400** can also include an export CSV icon **4424** and export XML icon **4426**. The data can be exported to a common separated value (CSV) document by selecting export CSV icon **4424**. The data can be exported to an extensible markup language (XML) document by selecting export CSV icon **4424**. Delete selected icon **4428** can remove selected pages  
10           from the database. Reset all **4430** can reset cumulative statistics for all nodes (same function as reset for users except that users are reset on an individual basis and pages are all reset together).

              Referring to Figure 45, a screen display **4500** of an entry in table **4402** (shown in Figure 44) is illustrated. Screen display **4500** can include information  
15           regarding a web page of the monitored web application; the content-type; the total threat score; the total threat count; the total request count; the average score; the interval count; the last access time; the maximum interval score; the interval score; the interval threat count; the interval request count; the average response time; and the previous interval score.

20           Screen display **4500** can include a view transactions icon **4502** and a view threats icon **4504**. When view transactions icon **4502** is selected, the transactions associated with this web page can be displayed. When view threats icon **4504** can be selected, the threats associated with this web page can be displayed.

25           Referring to Figures 46A-46F, screen displays for showing information of recent potential threats to the monitored web application are illustrated. Referring specifically to Figure 46A, a screen display **4600** of recent potential threats to the monitored web application is illustrated. Display **3900** (Figure 39) can switch to screen display **4600** by selecting threats icon **THR** (Figure 39).  
30           Screen display **4600** can display more detailed information regarding recent potential threats of the monitored web application than displayed in screen

display **3900** (Figure 39). Screen display **4600** can display a table **4602** including the fifteen most recent threats. Table **4602** can include the following columns: timestamp **4604**, score **4606**, detector **4608**, request URI **4610**, user **4612**, flagged **4614**, and client **4616**. Timestamp column **4604** can list the time that the threat occurred. Score column **4606** can list the threat score associated with the threat. Detector column **4608** can list the name of the detector triggering the threat. Request URI column **4610** can list the URI of the web-enabled device associated with the threat. User column **4612** can list the user name, if any, associated with the threat. Flagged column **4614** can indicate if the user name associated with the threat has been flagged. Client column **4616** can indicate the client ID associated with the threat.

Referring to Figure 46A, screen display **4600** can include a detector summary tab **4618**, a page summary tab **4620**, a client summary tab **4622**, a server summary tab **4624**, and a date summary tab **4626**. An operator can select detector summary tab **4618** to list only the detectors triggering for the monitored application. Selection of server summary tab **4618** can also list the number of trigger events detected by each detector. The operator can select page summary tab **4620** to group threats based on the page targeted by the threat; and summarize the data by showing the total threat score against each page. The operator can select client summary tab **4622** to group threats based on the client IP address; and summarize the data by showing the total threat score originating from each source network. The operator can select server summary tab **4624** to group threats based on the physical server targeted by the threat; and summarize the data by showing the total threat score against each server. The operator can select date summary tab **4626** to group threats based on day, week, or month the threat executed on; and summarize the data by showing the total threat score on each date.

Referring to Figure 46B, a screen display **4632** for showing threats grouped according to the triggering detector is illustrated. Screen display **4632** can be displayed by selecting tab **4618** (Figure 46A).



Referring to Figure 46C, a screen display **4634** for showing threats grouped according to the page that the threats were targeted against is illustrated. Screen display **4634** can be displayed by selecting tab **4620** (Figure 46A).

5 Referring to Figure 46D, a screen display **4636** for showing threats grouped according to the triggering client is illustrated. Screen display **4636** can be displayed by selecting tab **4622** (Figure 46A).

Referring to Figure 46E, a screen display **4638** for showing threats grouped according to the page that the threats were targeted against is  
10 illustrated. Screen display **4638** can be displayed by selecting tab **4620** (Figure 46A).

Referring to Figure 46F, a screen display **4640** for showing threats grouped according to the page that the threats were targeted against is illustrated. The displayed time of occurrence can include day, week, and  
15 month. Screen display **4640** can be displayed by selecting tab **4622** (Figure 46A).

Referring again to Figure 46A, screen display **4600** can also include an export CSV icon **4628** and export XML icon **4630**. The data can be exported to a common separated value (CSV) document by selecting export CSV icon  
20 **4628**. The data can be exported to an extensible markup language (XML) document by selecting export CSV icon **4630**.

Referring to Figure 47, a screen display **4700** of an entry in table **4602** (shown in Figure 46A) is illustrated. Screen display **4700** can include information regarding a recent threat to the monitored application; a timestamp  
25 for the threat; a threat score; a name of a detector triggering the threat; a description of the threat; the URI of the requesting web-enabled device; a user name for the user triggering the threat; a user name associated with the threat; whether the user has been flagged; a session ID; a client IP address associated with the threat; and a server IP address associated with the web  
30 server for the monitored web application. The server IP address is useful when monitoring more than one web server.

### Analyzing

Referring to Figure 48, a screen display **4800** of a transaction summary table **4802** of a monitored web application is illustrated. Table **4802** can summarize each transaction between the monitored web application and web-enabled devices. Transaction summary table **4802** can list the fifteen most recent transactions. Table **4802** can include the following columns: method **4804**, URI **4806**, user **4808**, status **4810**, content length **4812**, content type **4814**, threat score **4816**, timestamp **4818**, and response time **4820**. According to one embodiment, method column **4804** can indicate the possible request methods prescribed by the HTTP/1.1 specification. A GET can mean to “send me the entity described by the URL”. URI column **4806** can indicate the URI names the resource on the server being requested by the client. User column **4808** can indicate the user name, if any, of an authenticated user. Status column **4810** can indicate HTTP Status Code sent in the server’s response to the client’s request. Content length column **4812** can indicate the number of bytes in the document the server sent to the client in its response. Content type column **4814** can indicate the content type of the associated request the type of the document the server to the client in its response. Threat score column **4816** can indicate a threat score associated with the transaction. Timestamp column **4818** can indicate when the transaction occurred. Response time column **4820** can indicate response time showing the time that the server took to begin sending the response to the client.

Referring to Figure 48, screen display **4800** can include a method summary tab **4822**, a client summary tab **4824**, a page summary tab **4826**, a status summary tab **4828**, a content-type summary tab **4830**, a server summary tab **4832**, and a date summary tab **4834**. Method summary tab **4822** can be selected to provide a screen display grouping transactions by HTTP request method and summarizing the data by showing total number of transactions and total threat score for each HTTP request method. Client summary tab **4824** can be selected to provide a screen display grouping

transactions by client IP address and summarizing the data by showing total number of transactions and total threat score for each source network. Page summary tab **4826** can be selected to provide a screen display grouping transactions according to web pages of the monitored web application and summarizing the data by showing total number of transactions and total threat score for each page. Status summary tab **4828** can be selected to provide a screen display grouping transactions by HTTP status code of the response and summarizing the data by showing total number of transactions and total threat score for each status code. Content-type summary tab **4830** can be selected to provide a screen display grouping transactions according to content type of the response and summarizing the data by showing total number of transactions and total threat score for each content type. Server summary tab **4832** can be selected to provide a screen display grouping transactions according to the web server receiving the request and summarizing the data by showing total number of transactions and total threat score for each server. Date summary tab **4834** can be selected to provide a screen display grouping transactions according to day, week, or month the transaction was executed and summarizing the data by showing total number of transactions and total threat score for each data.

Screen display **4800** can also include an export CSV icon **4836** and export XML icon **4838**. The data can be exported to a common separated value (CSV) document by selecting export CSV icon **4836**. The data can be exported to an extensible markup language (XML) document by selecting export CSV icon **4838**.

Screen display **4800** can also include a monitor icon **MI** for switching to screen display **3900** (Figure 39) and configure icon **CI** for switching to a screen display for configuring security system **102** (Figures 1A, 1B, and 2). Screen display **4000** can also include an export CSV icon **4024** and export XML icon **4026**. The data associated with the information of screen display **4900** can be exported to a common separated value (CSV) document by selecting export CSV icon **4024**. The data associated with the information of screen display

**4800** can be exported to an extensible markup language (XML) document by selecting export CSV icon **4024**.

Referring to Figure 49, a screen display **4900** of transaction details is illustrated. The data shown in screen display **4900** represents information associated with one transaction for the monitored web application. In addition to the information included for each transaction in screen display **4800** (Figure 48), screen display **4900** includes several parameters associated with the transaction. Screen display **4900** can show the timestamp when the request was executed, the HTTP method of the request, the URI of the request, the User-ID associated with the transaction if known, the IP address of the client issuing the request, the session ID associated with the request if any, the HTTP status code of the response, the content length in bytes of the response, the content type of the document returned in the response, the time in milliseconds taken by the server to answer the request, and the IP address of the server. Additionally, screen display **4900** can show parameters included in the request as FORM data.

Referring again to Figure 48, screen display **4800** can include a network icon **NET** for switching to a screen display indicating network information.

Referring to Figure 50, a screen display **5000** of network information for a monitored web application is illustrated. Screen display **5000** can show three tables of information. Packets table can include: packet count observed; packet capture count; TCP fragment count; TCP fragment count; ingress byte count; and egress byte count. The packet count observed can be the number of packets that the appliance has seen on the network. The packet count can be the number of packets that match the filter of web servers configured for the web application. The TCP Fragment Count can be the number of captured packets that were TCP fragments. The ingress byte count can be the total byte count of captured packets that were destined for one of the web servers. The egress byte count can be the total byte count of captured packets that

originated from one of the web servers. The Screen display **5000** can include a transactions icon **TI** for switching to screen display **4800**.

5       Screen display **5000** can also include a connections table. The connections table can include: a connection open count; a connection close count; a new SSL session count, a resumed SSL session count; and an SSL connection count. The connections open count can be the number of new connections that have been seen from the assembled packets. The connection close count can be the number of connection closes that have been seen from the assembled packets. The new SSL session count can be the number of  
10       new SSL sessions that have been seen. The resumed SSL session count can be the number of resumed SSL session that have been seen. The SSL connection count can be the total number of SSL connections.

      Screen display **5000** can also include a servers table which shows a list of servers that are actively being monitored. The servers table can include a  
15       server with the IP address of the server port; a port with the port number of the server; connection count with the current number of active connections for the server; an SSL status with the number of SSL sessions cached for the server; an HTTP transactions with the number of HTTP transactions for this server; and a percentage of HTTP transactions with the percentage of transactions  
20       that this server has seen compared to all servers.

#### Configuring

      Referring to Figures 51-71, different screen displays are illustrated which provide an interface for configuring security system **102** (Figures 1A, 1B, and  
25       2). Referring specifically to Figure 51, a screen display **5100** for configuring password and interface settings is illustrated. Screen display **5100** can include a form **5102** for changing an operator password for accessing security system **102** (Figures 1A, 1B, and 2). Screen display **5100** can also include a form **5104** for configuring interface settings. In form **5104**, an operator can change  
30       the size percentage of the screen displays described herein. Also, in form

**5104**, an operator can change the refresh rate for the information presented in the screen displays described herein.

Operators can also configure security system **102** (Figures 1A, 1B, and 2) for monitoring network traffic to one or more web servers. Referring to Figure 52, a screen display **5200** for configuring one or more web servers for monitoring is illustrated. Screen display **5200** can include a form **5202** for identifying a web server. Form **5202** can include inputs for entering the following information about a web server: IP address, netmask, gateway, primary domain name system (DNS), and secondary DNS. Additionally, form **5202** can include an input for enabling an HTTP and HTTPS ports.

Referring to Figure 53, a screen display **5300** showing configuration for a mail server to allow the sensor to send e-mail alerts and daily reports of activity is illustrated. An operator can enter in a domain name or IP address of an SMTP server for use in sending mail. The username field can receive an optional user name to use for authentication to above server. The password field can receive an optional password to use for authentication to above server. The from name field can receive a display name to use for sending emails. The from address field can receive an e-mail address to use for sending e-mails. The enable pop-before-send field can receive input for enabling a special access mode required by some SMTP servers.

Referring to Figure 54, a screen display **5400** for setting time for security system **102** (Figures 1A, 1B, and 2). Screen display **5400** can include a form **5402** for setting date, time, and timezone.

Referring to Figure 55, a screen display **5500** is illustrated which shows a software upgrade feature by which the operator can patch or upgrade a security system (such as security system **102** shown in Figures 1A and 1B) by browsing to a patch file and uploading it to the security system.

Referring to Figure 56, a screen display **5600** for controlling security system **102** (Figures 1A, 1B, and 2) is illustrated. Screen display **5600** can include inputs for enabling and disabling security system **102** for monitoring.

Screen display **5600** can include inputs for resetting and turning off sensor (security system **102**).

5 Operators can also configure security system **102** (Figures 1A, 1B, and 2) to filter network traffic that is not part of the traffic being monitored. An operator can configure system **102** to only receive traffic to a specific port of a web server with screen display **5200** (Figure 52). Referring to Figure 57, a screen display **5700** for configuring security system **102** (Figures 1A, 1B, and 2) to monitor one or more web pages is illustrated. Screen display **5700** can include host fields **5702**, included URLs fields **5704**, and excluded URLs fields  
10 **5706**. Optional field **5702** can allow domain names to be entered that match the domain name users type into their web browser to reach the monitored application. An operator can enter URLs to be monitored or ignored in fields **5704** and **5706**, respectively.

Operators can also configure security system **102** (Figures 1A, 1B, and  
15 2) to filter URLs. Referring to Figure 58, a screen display **5800** for configuring page recognition is illustrated. Screen display **5800** can include a form for entering patterns for reducing URLs to web pages. Figure 58 shows the configuration for the sysnodemapper system detector. The strings entered are wildcard patterns used for identifying the Pages of the application from the  
20 entire set of possible URLs in the application. The patterns can be case sensitive or case insensitive by checking the Ignore Case input.

Security system **102** can be configured to handle different types of sessions. Referring to Figure 59, a screen display **5900** for configuring security system **102** (Figures 1A, 1B, and 2) to monitor different types of sessions.  
25 Screen display **5900** can include the following forms: session management **5902**, application server features **5904**, and custom settings **5906**. Session management form **5902** can include inputs for configuring security system **102** to handle one of standard J2EE sessions, standard PHP sessions, and standard ASP sessions. Form **5902** can also include an input for setting  
30 custom settings in custom setting form **5906**. Application server features form **5904** can include inputs for selecting permissive sessions or strict sessions.

Additionally, form **5904** can include an input for setting session timeout. The session cookie name can be treated as case sensitive or case insensitive. Custom settings form **5906** can include inputs for configuring sessions that are cookie-based; sessions with a session ID that is encoded as a query argument in URLs; and session with a session ID that is encoded as a path parameter in URLs.

Security system **102** (Figures 1A, 1B, and 2) can be configured to determine when a user has successfully authenticated against the monitored web application. Referring to Figure 60, a screen display **6000** for user authentication configuration is illustrated. Screen display **6000** can include a form **6002** for form based login detection and a form **6004** for form based login result. Form **6002** can include inputs for setting for form-based login; use case sensitive matches; and logout page defined authentication. Additionally, an input is provided for entering the URL pattern of login action. Form **6002** can also include input for entering form field containing user ID; and a URL pattern of logout action. Form **6004** can include several inputs for determining when a login failed or succeeded. In addition to the inputs shown in form **6004**, security system **102** can be configured to support HTTP basic authentication, Microsoft MP authentication, and DIGEST authentication.

Security system **102** (Figures 1A, 1B, and 2) can also be configured with an IP address. Referring to Figure 61, a screen display **6100** for configuring security system **102** with an IP address is illustrated. Screen display **6100** can include a form **6102** for entering an IP address, a port, and an SSL private key. Screen display **6100** can show selection of the physical servers that will be monitored. They are identified by their IP address and port (called a "service"). If a service operates over SSL, the operator can supply the private key of the server and optionally the password used to protect the private key.

Security system **102** (Figures 1A, 1B, and 2) can be configured to add and delete operators. Figures 62 and 63 illustrate screen displays for configuring operators for security system **102**. Referring specifically to Figure 62, a screen display **6200** for configuring operators for security system **102** is



illustrated. Screen display **6200** can include a table **6202** of operator accounts on security system **102**. An operator column **6204** can include the user name of the operator. An e-mail address column **6206** can include the e-mail address of the operator, if an e-mail address is supplied. A delete icon **6208** can allow  
5 selected operator accounts to be removed. An add icon **6210** can allow a new account to be created by filling in the user name in a text field **6212**.

Referring to Figure 63, a screen display **6300** for configuring details of an operator account is illustrated. Screen display **6300** can include an e-mail address field **6302** and password fields (**6304** and **6306**) for allowing the e-mail  
10 address and password, respectively, of the operator to be entered. Screen display **6300** can include rights fields **6308**, **6310**, and **6312** for allowing operators to have different privileges while using security system **102**. Administration rights field **6308** can grant the operator the ability to access configure menus. Account maintenance field **6310** can grant the operator the  
15 ability to access the operators menu. Transaction viewing field **6312** can grant the operator the ability to access an analyze transactions menu.

Security system **102** (Figures 1A, 1B, and 2) can be configured with lists for e-mailing alerts and daily reports. Referring to Figure 64, a screen display  
20 **6400** for configuring lists for e-mailing alerts and daily reports is illustrated. A mailing list is comprised of operators. A delete selected icon **6402** can allow a mailing list to be removed from security system **102**. An add icon **6404** can allow a new mailing list to be created by entering a name in a text field **6406**.

Security system **102** (Figures 1A, 1B, and 2) can list an audit log of operator activity. Referring to Figure 65, a screen display **6500** for listing an  
25 audit history of actions taken by operators is illustrated. A date column **6502** can show the time when the action was performed. A message column **6504** can show the action taken by the operator. An IP address column **6506** can show the IP address of the client taking the action. An operator column **6508** can show the user name of the operator performing the action. A refresh icon  
30 **6510** can update screen display **6500** with any new actions. An export CSV icon **6512** can download the contents of the audit log to an operator's browser

in comma-separated-values format. An export XML icon **6514** can download the contents of the audit log to an operator's browser in XML format.

5 Security system **102** (Figures 1A, 1B, and 2) can generate and e-mail daily reports to selected operators. Referring to Figure 66, a screen display  
6600 for generating two daily reports to e-mail to selected operators is illustrated. A detail report can provide detailed information about users, pages, and threats for each day. A summary report can show a summary of activity for users, pages, and threats for each day. Each report is configured with: a mailing list box **6602** for indicating what operators to send the report to; an e-mail format box **6604** indicating whether the report should be plain text or  
10 HTML format; a sent at box **6606** for indicating the hour of each day to generate the report; an include top box **6608** for indicating the volume of information to include in the report; and a send now icon **6610** for generating and e-mailing the report.

15 Security system **102** (Figures 1A, 1B, and 2) can list the installed detectors. Referring to Figure 67, a screen display **6700** for listing installed detectors is illustrated. Screen display **6700** can include a name column **6702** showing the name of the detector. Security system **102** can include a category column **6704** showing the administrative category of the detector. Security  
20 system **102** can include a score column **6706** showing the threat score assigned to events generated by the detector. Security system **102** can include a status column **6708** showing the current status of the detector (WORKING, LEARNING, DISABLED, or ERROR).

25 Security system **102** (Figures 1A, 1B, and 2) can display and allow operators to change detector configurations. Referring to Figure 68, a screen display **6800** for displaying and allowing operators to change a detector configuration is illustrated. Screen display **6800** can display a name of the detector; a detector category showing the administrative category of the detector; a description for explaining the purpose and general operation of the  
30 detector; a detector status showing the current operational status of the detector (WORKING, LEARNING, DISABLED, or ERROR); an enabled input

5       **6802** for allowing the operator to remove this detector from operation, or to place it back into operation; an event field **6804** for allowing the operator to customize the message displayed for this detector on the threats display; a threat score field **6806** for allowing the operator to customize the score assigned to events generated by this detector; a send e-mail alerts input **6808** for allowing the operator to specify that an email should be sent when this detector is triggered; an event count limit field **6810** for allowing the operator to specify that the e-mail should not be sent for every event generated by this detector, but only when a certain number of events are generated; a distribution list input **6812** for allowing the operator to specify which operators should receive the e-mail alert. Security screen **6800** can include a detector tuning portion **6812** for each detector. Detector tuning portion **6812** can that contain the specific configurable elements for the detector.

15       Security system **102** (Figures 1A, 1B, and 2) can display a table containing a list of time-based scoring adjustments for adjusting the threat score registered by a detector. Referring to Figure 69, a screen display **6900** for adjusting threat scores is illustrated. Screen display **6900** can include a table **6902** having entries for instructing security system **102** to automatically adjust the threat score of any event generated during the time period covered by the entry. Table **6902** can include a from day column **6904** for indicating the beginning day for this adjustment. Table **6902** can include a from hour column **6906** for indicating the beginning hour for this adjustment. Table **6902** can include a to day column **6908** for indicating the ending day for this adjustment. Table **6902** can include a to hour column **6910** indicating the ending hour for this adjustment. Table **6902** can include a score adjustment column **6912** indicating the percentage by which all threat scores should be automatically adjusted during this time period. Table **6902** can include a comment column **6914** for indicating a descriptive comment for the entry. A delete icon **6916** can allow an entry to be removed from security system **102**. An add adjustment icon **6918** can allow a new entry to be added by filling in the fields shown.

Security system **102** (Figures 1A, 1B, and 2) can display a table containing user access lists (UAL). Figures 70 and 71 illustrate screen displays of user access lists. Referring to Figure 70, a screen display **7000** for displaying a user access list is illustrated. Screen display **7000** can include a name column **7002** showing the name assigned to the user access list. Screen display **7000** can include a comment column **7004** showing a descriptive explanation for the user access list. A delete icon **7006** can allow a user access list to be removed from security system **102**. A create icon **7008** can allow a new user access list to added to security system **102** by filling in the name field.

Referring specifically to Figure 71, a screen display **7100** for showing user access list details is illustrated. Screen display **7100** can display a users table **7102**, an IP address patterns table **7104**, a disabled detectors table **7106**, and a scoring adjustment table **7108**. Users table **7102** can list application user names included in the user access list. Table **7102** can include a delete icon **7110** for removing user names from the user access list. Table **7102** can also include an add user icon **7112** for adding a new user name to the user access list by entering the user name in field **7114**.

Referring to Figure 71, IP address patterns table **7104** can list IP address patterns included in the user access list. Table **7102** can include a delete icon **7116** for removing IP address patterns from the user access list. Table **7104** can also include an add pattern icon **7118** for adding a new IP address pattern to the user access list by entering the pattern in field **7120**. The pattern is a mask that can be used to match client IP addresses.

Referring to Figure 71, disabled detectors table **7106** can list enabled and disabled detectors for the users in this user access list. Table **7106** can include a delete icon **7120** for removing a detector from the user access list. Table **7104** can also include an add detector icon **7122** for adding a new detector to the user access list by selecting a detector in drop-down list **7124**. Table **7106** can also include a checkbox **7126** for disabling all detectors by default, rather than the detectors shown in the list.

5 Referring to Figure 71, scoring adjustment table **7104** can time-based scoring adjustments made for users in the user access list. Table **7102** can include a delete icon **7128** for removing a scoring adjustment from the user access list. Table **7104** can also include an add adjustment icon **7130** for adding a new scoring adjustment to the user access list by completing fields **7132, 7134, 7136, 7138, and 7140**. Fields **7132, 7134, 7136, 7138, and 7140** can allow an operator to select a starting and ending time for the adjustment and a percentage by which all threat scores should be adjusted during a covered time period.

10 It will be understood that various details of the presently disclosed subject matter can be changed without departing from the scope of the subject matter. Furthermore, the foregoing description is for the purpose of illustration only, and not for the purpose of limitation.